Symbolic Representation of Algorithmic Game Semantics

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In this paper we revisit the regular-language representation of game semantics of second-order recursion-free Idealized Algol with infinite data types. By using symbolic values instead of concrete ones we generalize the standard notion of regular-language and automata representations to that of corresponding symbolic representations. In this way terms with infinite data types, such as integers, can be expressed as finite symbolic-automata although the standard automata interpretation is infinite. Moreover, significant reductions of the state space of game semantics models are obtained. This enables efficient verification of terms, which is illustrated with several examples.

1 Introduction

Game semantics [1, 2, 16] is a technique for compositional modelling of programming languages, which gives both sound and complete (fully abstract) models. Types are interpreted by games (or arenas) between a Player, which represents the term being modelled, and an Opponent, which represents the environment in which the term is used. The two participants strictly alternate to make moves, each of which is either a question (a demand for information) or an answer (a supply of information). Computations (executions of terms) are interpreted as plays of a game, while terms are expressed as strategies, i.e. sets of plays, for a game. It has been shown that game semantics model can be given certain kinds of concrete automata-theoretic representations [9, 12, 13], and so it can serve as a basis for software model checking and program analysis. However, the main limitation of model checking in general is that it can be applied only if a finite-state model is available. This problem arises when we want to handle terms with infinite data types.

Regular-language representation of game semantics of second-order recursion-free Idealized Algol with finite data types provides algorithms for automatic verification of a range of properties, such as observational-equivalence, approximation, and safety. It has the disadvantage that in the presence of infinite integer data types the obtained automata become infinite state, i.e. regular-languages have infinite summations, thus losing their algorithmic properties. Similarly, large finite data types are likely to make the automata infeasible. In this paper we redefine the (standard) regular-language representation [12] at a more abstract level so that terms with infinite data types can be represented as finite automata, and so various program properties can be checked over them. The idea is to transfer attention from the standard form of automata to what we call symbolic automata. The representation of values constitutes the main difference between these two formalisms. In symbolic automata, instead of assigning concrete values to identifiers occurring in terms, they are left as symbols. Operations involving such identifiers will also be left as symbols. Some of the symbols will be guarded by boolean expressions, which indicate under which conditions these symbols can be performed.

The paper is organised as follows. The language we consider here is introduced in Section 2. Symbolic representation of algorithmic game semantics is defined in Section 3. Its correctness and suitability for verification of safety properties are shown in Section 4. In Section 5 we discuss some extensions of...
the language, such as arrays, and how they can be represented in the symbolic model. A prototype tool, which implements this translation, as well as some examples are described in Section 6.

Related work. By representing game semantic models as symbolic automata, we obtain a predicate abstraction [14, 6] based method for verification. In [3] it was also developed a predicate abstraction from game semantics. This was enabled by extending the models produced using game semantics such that the state (store) is recorded explicitly in the model by using so-called stateful plays. However, in our work we achieved predicate abstraction in a more natural way without changing the game semantic models, and also for terms with infinite data types.

Symbolic techniques, in which data is not represented explicitly but symbolically, have found a number of applications. For example, symbolic execution and verification of programs [4], symbolic program analysis [5], and symbolic operational semantics of process algebras [15].

2 The Language

Idealized Algol (IA) [1, 2] is a well-studied language which combines call-by-name \( \lambda \)-calculus with the fundamental imperative features and locally-scoped variables. In this paper we work with its second-order recursion-free fragment (IA\(_2\) for short).

The data types \( D \) are integers and booleans (\( D := \text{int} \mid \text{bool} \)). The base types \( B \) are expressions, commands, and variables (\( B := \text{exp}D \mid \text{com} \mid \text{var}D \)). We consider only first-order function types \( T \) (\( T := B \mid B \rightarrow T \)).

Terms are formed by the following grammar:

\[
M ::= x \mid v \mid \text{skip} \mid M \text{op} M \mid M ; M \mid \text{if } M \text{ then } M \text{ else } M \mid \text{while } M \text{ do } M \\
\mid M ::= M \mid !M \mid \text{new}_D.x := v \text{ in } M \mid \text{mkvar}_D.M \mid \lambda . x . M \mid MM
\]

where \( v \) ranges over constants of type \( D \). Expression constants are infinite integers and booleans. The standard arithmetic-logic operations \( \text{op} \) are employed. We have the usual imperative constructs: sequential composition, conditional, iteration, assignment, de-referencing, and “do nothing” command \( \text{skip} \).

Block-allocated local variables are introduced by a \( \text{new} \) construct, which initializes a variable and makes it local to a given block. The constructor \( \text{mkvar} \) is used for creating “bad” variables. We have the standard functional constructs for function definition and application. Well-typed terms are given by typing judgements of the form \( \Gamma \vdash M : T \), where \( \Gamma \) is a type context consisting of a finite number of typed free identifiers, i.e. of the form \( x_1 : T_1, \ldots, x_k : T_k \). Typing rules of the language are given in [1, 2].

The operational semantics of our language is given for terms \( \Gamma \vdash M : T \), such that all identifiers in \( \Gamma \) are variables, i.e. \( \Gamma = x_1 : \text{var}D_1, \ldots, x_k : \text{var}D_k \). It is defined by a big-step reduction relation:

\[
\Gamma \vdash M, s \Rightarrow V, s'
\]

where \( s, s' \) represent the state before and after reduction. The state is a function assigning data values to the variables in \( \Gamma \). We denote by \( V \) terms in canonical form defined by \( V ::= x \mid v \mid \lambda . x . M \mid \text{skip} \mid \text{mkvar}_D.M \). Reduction rules are standard (see [1, 2] for details).

Given a term \( \Gamma \vdash M : \text{com} \), where all identifiers in \( \Gamma \) are variables, we say that \( M \) terminates in state \( s \), written \( M, s \Downarrow \), if \( \Gamma \vdash M, s \Rightarrow \text{skip}, s' \) for some state \( s' \). If \( M \) is a closed term then we abbreviate the relation \( M, \emptyset \Downarrow \) with \( M \Downarrow \). We say that a term \( \Gamma \vdash M : T \) is an approximate of a term \( \Gamma \vdash N : T \), denoted by \( \Gamma \vdash M \triangleq N \), if and only if for all terms-with-hole \( C[-] : \text{com} \), such that \( \vdash C[M] : \text{com} \) and \( \vdash C[N] : \text{com} \) are well-typed closed terms of type \( \text{com} \), if \( C[M] \Downarrow \) then \( C[N] \Downarrow \). If two terms approximate each other they are considered observationally-equivalent, denoted by \( \Gamma \vdash M \cong N \).
3 Symbolic Game Semantics

We start by introducing a number of syntactic categories necessary for construction of symbolic automata. Let \( \text{Sym} \) be a countable set of symbolic names, ranged over by upper case letters \( X, Y, Z \). For any finite \( W \subseteq \text{Sym} \), the function \( \text{new}(W) \) returns a minimal symbolic name which does not occur in \( W \), and sets \( W := W \cup \text{new}(W) \). A minimal symbolic name not in \( W \) is the one which occurs earliest in a fixed enumeration \( X_1, X_2, \ldots \) of all possible symbolic names. A set of expressions \( \text{Exp} \), ranged over by \( e \), is defined as follows:

\[
\begin{align*}
\text{e} & ::= a | b \\
\text{a} & ::= n | X^{\text{int}} | a \text{op} a \\
\text{b} & ::= \text{tt} | \text{ff} | X^{\text{bool}} | a = a | -b | b \land b
\end{align*}
\]

where \( a \) ranges over arithmetic expressions \( (\text{AExp}) \), and \( b \) over boolean expressions \( (\text{BExp}) \). We use superscripts to denote the data type of a symbolic name \( X \). We will often omit to write them, when they are clear from the context.

Let \( \mathcal{A} \) be an alphabet of letters. We define a symbolic alphabet \( \mathcal{A}^{\text{sym}} \) induced by \( \mathcal{A} \) as follows:

\[
\mathcal{A}^{\text{sym}} = \mathcal{A} \cup \{ ?X, e | X \in \text{Sym}, e \in \text{Exp} \}
\]

The letters of the form \( ?X \) are called input symbols. They generate new symbolic names, i.e. \( ?X \) means \( \text{let} X = \text{new}(W) \) in \( \ldots \). We use \( \alpha \) to range over \( \mathcal{A}^{\text{sym}} \). Next we define a guarded alphabet \( \mathcal{A}^{\text{gu}} \) induced by \( \mathcal{A} \) as the set of pairs of boolean conditions and symbolic letters, i.e. we have:

\[
\mathcal{A}^{\text{gu}} = \{ [b, \alpha] | b \in \text{BExp}, \alpha \in \mathcal{A}^{\text{sym}} \}
\]

A guarded letter \([b, \alpha]\) means that the symbolic letter \( \alpha \) occurs only if the boolean \( b \) evaluates to true, i.e. \( \text{if} (b = \text{tt}) \text{then} \alpha \text{else} \emptyset \). We use \( \beta \) to range over \( \mathcal{A}^{\text{gu}} \). We will often write \( \alpha \) for the guarded letter \([b, \alpha]\). A word \([b_1, \alpha_1] \cdot [b_2, \alpha_2] \cdot \ldots \cdot [b_n, \alpha_n] \) over guarded alphabet \( \mathcal{A}^{\text{gu}} \) can be represented as a pair \([b, \text{word}]\), where \( b = b_1 \land b_2 \land \ldots \land b_n \) is a boolean and \( \text{word} = \alpha_1 \cdot \alpha_2 \cdot \ldots \cdot \alpha_n \) is a word of symbolic letters.

We now show how \( \text{IA}_2 \) with infinite integers is interpreted by symbolic automata, which will be denoted by extended regular expressions. For simplicity the translation is defined for terms in \( \beta \)-normal form. If a term has \( \beta \)-redexes, it is first reduced to \( \beta \)-normal form syntactically by substitution. In this setting, types (arenas) are represented as guarded alphabets of moves, plays of a game as words over a guarded alphabet, and strategies as symbolic automata (regular languages) over a guarded alphabet. The symbolic automata and regular languages, denoted by \( \mathcal{A}(\text{R}) \) and \( \mathcal{Z}(\text{R}) \) respectively, are specified using extended regular expressions \( \mathcal{R} \). They are defined inductively over finite guarded alphabets \( \mathcal{A}^{\text{gu}} \) using the following operations:

\[
\begin{align*}
\emptyset & \quad \varepsilon & \beta & \quad R \cdot R' & \quad R^* & \quad R + R' & \quad R \cap R' \\
R & \quad |_{\mathcal{A}^{\text{gu}}} & R[R'/w] & \quad R(\alpha) & \quad R'' & \quad R \bowtie R' \quad \end{align*}
\]

where \( R, R' \) ranges over extended regular expressions, \( \mathcal{A}^{\text{gu}}, \mathcal{B}^{\text{gu}} \) over finite guarded alphabets, \( \beta \in \mathcal{A}^{\text{gu}}, \alpha \in \mathcal{A}^{\text{sym}}, \beta'' \in \mathcal{A}^{\text{gu}} \subseteq \mathcal{B}^{\text{gu}} \) and \( w \in \mathcal{A}^{\text{gu}} \).

Constants \( \emptyset, \varepsilon \) and \( \beta \) denote the languages \( \emptyset, \{ \varepsilon \} \) and \( \{ \beta \} \), respectively. Concatenation \( R \cdot R' \), Kleene star \( R^* \), union \( R + R' \) and intersection \( R \cap R' \) are the standard operations. Restriction \( R |_{\mathcal{A}^{\text{gu}}} \) replaces all symbolic letters from \( \mathcal{A}^{\text{gu}} \) with \( \varepsilon \) in all words of \( R \), but keeps all boolean conditions. Substitution \( R[R'/w] \) is the language of \( R \) where all occurrences of the subword \( w \) have been replaced by the words of \( R' \). Given two symbols \( \alpha \in \mathcal{A}^{\text{sym}}, \beta \in \mathcal{A}^{\text{gu}}, \beta''(\alpha) \) is a new letter obtained by tagging the latter with
the former. If a letter is tagged more than once, we write \((\beta^{(\alpha_1)})^{(\alpha_2)} = \beta^{(\alpha_2, \alpha_1)}\). We define the alphabet 
\(\mathcal{A}_{\text{gu}}(\alpha) = \{\beta^{(\alpha)} \mid \beta \in \mathcal{A}_{\text{gu}}\}\). Composition of regular expressions \(R'\) defined over \(\mathcal{A}_{\text{gu}}(1) + \mathcal{A}_{\text{gu}}(2)\) and \(R\over \mathcal{A}_{\text{gu}}(2) + \mathcal{A}_{\text{gu}}(3)\) is given as follows:

\[
R^{\circ \mathcal{A}_{\text{gu}}(2)} = \{w \cdot [b \land b_1 \land b_2 \land b'_1 \land b'_2 \land \alpha_1 = \alpha'_1 \land \alpha_2 = \alpha'_2, s]^{(1)} / [b_1, \alpha'_1]^{(2)} \cdot [b_2, \alpha'_2]^{(2)} \mid w \in R, [b_1, \alpha'_1]^{(2)} \cdot [b, s]^{(1)}, [b'_2, \alpha'_2]^{(2)} \in R'\}
\]

where \(R'\) is a set of words of form \([b'_1, \alpha'_1]^{(2)} \cdot [b, s]^{(1)} \cdot [b'_2, \alpha'_2]^{(2)}\), such that \([b'_1, \alpha'_1]^{(2)}, [b'_2, \alpha'_2]^{(2)} \in \mathcal{A}_{\text{gu}}(2)\) and \([b, s]\) contains only letters from \(\mathcal{A}_{\text{gu}}(1)\). So all letters of \(\mathcal{A}_{\text{gu}}(2)\) are removed from the composition, which is defined over the alphabet \(\mathcal{A}_{\text{gu}}(1) + \mathcal{A}_{\text{gu}}(3)\). The shuffle operation of two regular languages is defined as \(\mathcal{L}(R) \otimes \mathcal{L}(R') = \bigcup_{w_1 \in \mathcal{L}(R), w_2 \in \mathcal{L}(R')} w_1 \otimes w_2\), where \(w_1 \otimes w = w\) and \(a \cdot w_1 \otimes b \cdot w_2 = a \cdot (w_1 \otimes b \cdot w_2) + b \cdot (a \cdot w_1 \otimes w_2)\). It is a standard result that any extended regular expression obtained from the operations above denotes a regular language \([12, \text{pp. } 11–12]\), which can be recognised by a finite (symbolic) automaton \([17]\).

Each type \(T\) is interpreted by a guarded alphabet of moves \(\mathcal{A}_{\text{gu}}[T]\) induced by \(\mathcal{A}_{[T]}\). The alphabet \(\mathcal{A}_{[T]}\) contains two kinds of moves: questions and answers. They are defined as follows.

\[
\begin{align*}
\mathcal{A}_{[\text{int}]} &= \{\ldots, -n, -n + 1, \ldots, n, n + 1, \ldots\} & \mathcal{A}_{[\text{bool}]} &= \{\text{tt, ff}\} \\
\mathcal{A}_{[\text{expD}]} &= \{q\} \cup \mathcal{A}_{[D]} & \mathcal{A}_{[\text{com}]} &= \{\text{run, done}\} \\
\mathcal{A}_{[\text{varD}]} &= \{\text{read, write}(a), a, \text{ok} \mid a \in \mathcal{A}_{[D]}\} \\
\mathcal{A}_{\text{gu}}[B_1^{(1)} \ldots B_k^{(n)}] &= \sum_{1 \leq i \leq k} \mathcal{A}_{[B_i]} + \mathcal{A}_{\text{gu}}[B]
\end{align*}
\]

Note that function types are tagged by a superscript \((i)\) in order to keep record from which type, i.e. which component of the disjoint union, each move comes from. The letters in the alphabet \(\mathcal{A}_{[T]}\) represent moves (observable actions) that a term of type \(T\) can perform. For example, in \(\mathcal{A}_{[\text{expD}]}\) there is a question move \(q\) to ask for the value of the expression, and values from \(\mathcal{A}_{[D]}\) to answer the question. For commands, in \(\mathcal{A}_{[\text{com}]}\) there is a question move \(\text{run}\) to initiate a command, and an answer move \(\text{done}\) to signal successful termination of a command. For variables, we have moves for writing to the variable, \(\text{write}(a)\), acknowledged by the move \(\text{ok}\), and for reading from the variable, a question move \(\text{read}\), and corresponding to it an answer from \(\mathcal{A}_{[D]}\).

For any (\(\beta\)-normal) term, we define a regular-language which represents its game semantics, i.e. its set of complete plays. Every complete play represents the observable effects of a completed computation of the given term. It is given as a guarded word \([b, w]\), where the boolean \(b\) is also called play condition. Assumptions about a play (computation) to be feasible are recorded in the play condition. For infeasible plays, the play condition is inconsistent (unsatisfiable), thus no assignment of concrete values to symbolic names exists that makes the play condition true. So it is desirable for any play to check the consistency (satisfiability) of its play condition. If the play condition is found to be inconsistent, this play is discarded from the final model of the corresponding term. The regular expression for \(\Gamma \vdash M : T\) is denoted \([\Gamma \vdash M : T]\), and it is defined over the guarded alphabet \(\mathcal{A}_{\text{gu}}[\Gamma ; T]\) defined as:

\[
\mathcal{A}_{\text{gu}}[\Gamma ; T] = \big( \sum_{x : T \in \Gamma} \mathcal{A}_{\text{gu}}[\Gamma \vdash x] \big) + \mathcal{A}_{\text{gu}}[T]
\]

Free identifiers \(x : T \in \Gamma\) are represented by the copy-cat regular expressions given in Table 1, which contain all possible behaviours of terms of that type. They provide a generic closure of an open program term. For example, \(x : \text{expD}(x) \vdash x : \text{expD}\) is modelled by the word \(q \cdot q^{(x)} \cdot \text{?X}^{(x)} \cdot X\). Its meaning is that
Opponent starts the play by asking what is the value of this expression with the move \( q \), and Player responds by playing \( q(x) \) (i.e. what is the value of the non-local expression \( x \)). Then Opponent provides the value of \( x \) by using a new symbolic name \( X \), which will be also the value of this expression. Languages \( R_{B_i}^{(x_i)} \) contain plays representing a function which evaluates its \( i \)-th argument.

Note that whenever an input symbol \( ?X \) is met in a play, a new symbolic name is created, which binds all occurrences of \( X \) that follow in the play until a new \( ?X \) is met. For example, \( [[f : \text{expint} \rightarrow \text{expint}] \vdash f : \text{expint} \rightarrow \text{expint}] = q \cdot q(f) \cdot (q(f) \cdot q(l) \cdot \text{write}(Z \cdot Z) \cdot Z)^* \cdot \text{ok}(x) \cdot \text{ok}(x) \cdot \text{k}(x) \cdot \text{ok}(x) \cdot \text{ok}(x) \cdot \text{ok}(x) \).

In Table 2 terms are interpretted by regular expressions describing their sets of complete plays. An integer or boolean constant is modeled by a play where the initial question \( q \) is answered by the value of that constant. The only play for skip responds to \( \text{run with done} \). A composite term \( c(M_1, \ldots, M_k) \) consisting of a language construct ‘\( c \)’ and subterms \( M_1, \ldots, M_k \) is interpreted by composing the regular expressions for \( M_1, \ldots, M_k \), and a regular expression for ‘\( c \)’. The representation of language constructs ‘\( c \)’ is given in Table 3. In the definition for local variables, a ‘cell’ regular expression \( \gamma^c \) is used to remember the initial and the most-recently written value into the variable \( x \). Notice that all symbols used in Tables 1,2,3 are of data type \( D \), except the symbol \( Z \) in if and while constructs, which is of data type \( \text{bool} \).

We define an effective alphabet of a regular expression to be the set of all letters appearing in the
language denoted by that regular expression. Then we can show.

**Proposition 1.** For any term \( \Gamma \vdash M : T \), the effective alphabet of \( \llbracket \Gamma \vdash M : T \rrbracket \) is a finite subset of \( \mathcal{L}^\text{au}_{\llbracket \Gamma \vdash T \rrbracket} \).

Any term \( \Gamma \vdash M : T \) from IA\(_2\) with infinite integers is interpreted by extended regular expression without infinite summations defined over finite alphabet. So the following is immediate.

**Theorem 1.** For any IA\(_2\) term, the set \( \mathcal{L} \llbracket \Gamma \vdash M : T \rrbracket \) is a symbolic regular-language without infinite summations over finite alphabet. Moreover, a finite symbolic automata \( \mathcal{A} \llbracket \Gamma \vdash M : T \rrbracket \) which recognizes it is effectively constructible.

**Proof.** The proof is by induction on the structure of \( \Gamma \vdash M : T \).

An automaton is a tuple \((Q, i, \delta, F)\) where \(Q\) is the finite set of states, \(i \in Q\) is the initial state, \(\delta\) is the transition function, and \(F \subseteq Q\) is the set of final states. We now introduce two auxiliary operations. Let \(A' = (Q', i', \delta', F')\) be an automaton, then \(A = \text{rename}(A', \text{tag})\) is defined as:

\[
Q = Q', \quad i = i', \quad F = F',
\]

\[
\delta = \{ q_1 \overset{[b, m]}{\rightarrow} q_2 \in \delta' \mid q_1 \neq i', q_2 \notin F' \} + \\
\{ i' \overset{[b, m]}{\rightarrow} q \mid i \overset{[b, m]}{\rightarrow} q' \in \delta' \} + \{ q_1 \overset{[b, m]}{\rightarrow} q_2 \mid q_2 \overset{[b, m]}{\rightarrow} q_1 \}
\]

Let \(A_1 = (Q_1, i_1, \delta_1, F_1)\) and \(A_2 = (Q_2, i_2, \delta_2, F_2)\) be two automata, such that all transitions going out of \(i_2\) and going to a state from \(F_2\) are tagged with \text{tag}. Define \(A = \text{compose}(A_1, A_2, \text{tag})\) as follows:

\[
Q = Q_1 \cup Q_2 \setminus \{ i_2, F_2 \}, \quad i = i_1, \quad F = F_1, \quad \delta = \{ q_1 \overset{[b, m]}{\rightarrow} q_1' \in \delta_1 \mid m \neq n^{(\text{tag})} \} + \{ q_2 \overset{[b, m]}{\rightarrow} q_2' \in \delta_2 \mid m \neq n^{(\text{tag})} \} + \\
\{ q_1 \overset{[b_1, b_2, m_1=m_2, e]}{\rightarrow} q_2' \mid q_1 \overset{[b_1, m_{1}^{(\text{tag})}]}{\rightarrow} q_1' \in \delta_1, i_2 \overset{[b_2, m_{2}^{(\text{tag})}]}{\rightarrow} q_2' \in \delta_2, \{m_1, m_2\} \text{ are questions} \} + \\
\{ q_2 \overset{[b_1, b_2, m_1=m_2, e]}{\rightarrow} q_1' \mid q_1 \overset{[b_1, m_{1}^{(\text{tag})}]}{\rightarrow} q_1' \in \delta_1, q_2 \overset{[b_2, m_{2}^{(\text{tag})}]}{\rightarrow} q_2' \in \delta_2, q_2' \in F_2, \{m_1, m_2\} \text{ are answers} \}
\]

Let \(A_M, A_N\), and \(A_0\) be automata representing \(\Gamma \vdash M\), \(\Gamma \vdash N\), and construct ; (see Table 3), respectively. The unique automaton representing \(\Gamma \vdash M\) \(; N\) is defined as:

\[
A_M; N = \text{compose}(\text{compose}(A_0, \text{rename}(A_M, 1), 1), \text{rename}(A_N, 2), 2)
\]

The other cases for constructs are similar.

The automaton \(A = (Q, i, \delta, F)\) for \(\llbracket \Gamma \vdash \text{new}_{Dx} := \text{vin}M \rrbracket\) is constructed in two stages. First we eliminate \(x\)-tagged symbolic letters from \(A_M = (Q_M, i_M, \delta_M, F_M)\), which represents \(\llbracket \Gamma, x : \text{var}D \vdash M \rrbracket\), by replacing them with \(\varepsilon\). We introduce a new symbolic name \(X\) to keep track of what changes to \(x\) are
made by each \( x \)-tagged move.

\[
Q_{\epsilon} = Q_M, \quad i_{\epsilon} = i_M, \quad F_{\epsilon} = F_M
\]

\[
\delta_{\epsilon} = \left\{ i_M \xrightarrow{[\{X=x, Y=y\}]} q | i_M \xrightarrow{[b_m]} q \in \delta_M \right\} + \left\{ q_1 \xrightarrow{[b_m]} q_2 | q_1 \in \delta_M, m \notin \{ \text{write}(a)_{\{x\}}, \text{ok}^{(x)}, \text{read}^{(x)}, a^{(x)} \} \right\} \]

\[
= \left\{ q_1 \xrightarrow{[b_m]} q_2 | q_1 \in \delta_M, q \xrightarrow{[b_2, \text{ok}^{(x)}]} q_2 \in \delta_M \right\} + \left\{ q_1 \xrightarrow{[b_1]} q_2 | \exists q_1 \xrightarrow{[b_1, \text{write}(d)]} q \in \delta_M, q \xrightarrow{[b_2, \text{ok}^{(x)}]} q_2 \in \delta_M \right\}
\]

The final automaton is obtained by eliminating \( \epsilon \)-letters from \( A_{\epsilon} \). Note that conditions associated to \( \epsilon \)-letters are not removed.

\[
Q = Q_{\epsilon}, \quad i = i_{\epsilon}, \quad F = F_{\epsilon}
\]

\[
\delta = \left( \{ \delta_{\epsilon} \} \backslash \{ q_1 \xrightarrow{[b_1, \epsilon]} q_2 | q_1, q_2 \in Q_{\epsilon} \} \right) + \left\{ q_1 \xrightarrow{[b_1, \epsilon]} q_2 | \exists q_1 \xrightarrow{[b_1, \text{write}(d)]} q \xrightarrow{[b_2, \epsilon]} q_2 \xrightarrow{[b_2, \text{ok}^{(x)}]} q_2 \right\}
\]

We write \( q_1 \xrightarrow{[b_1, \epsilon]} q_2 \) if \( q_2 \) is reachable from \( q_1 \) by a series of \( \epsilon \)-transitions \( [b_1, \epsilon], \ldots, [b_k, \epsilon] \), where \( b_\epsilon = b_1 \land \ldots \land b_k \).

\[\Box\]

**Example 1.** Consider the term \( M_1 \):

\[ f : \text{com}^{f,1} \rightarrow \text{com}^{f, \text{abort}}, \text{com}^{\text{abort}}, x : \text{expint}^{x}, y : \text{expint}^{y} \vdash f(\text{if } (x \neq y) \text{ then } \text{abort}) : \text{com} \]

in which \( f \) is a non-local procedure, and \( x, y \) are non-local expressions.

The strategy for this term represented as a finite symbolic automaton is shown in Figure 1. The model illustrates only the possible behaviors of this term: the non-local procedure \( f \) may call its argument, zero or more times, then the term terminates successfully with \( \text{done} \). If \( f \) calls its argument, arbitrary values for \( x \) and \( y \) are read from the environment by using symbols \( X \) and \( Y \). If they are different \( (X \neq Y) \), then the abort command is executed. The standard regular-language representation [12] of \( M_1 \), where concrete values are employed, is given in Figure 2. It represents an infinite-state automaton, and so it is not suitable for automatic verification (model checking). Note that, the values for non-local expressions \( x \) and \( y \) can be any possible integer. \( \Box \)

### 4 Formal Properties

In [12, pp. 28–32], it was shown the correctness of the standard regular-language representation for finitary \( \text{IA}_2 \) by showing that it is isomorphic to the game semantics model [1]. As a corollary, it was obtained that the standard regular-language representation is fully abstract.

Let \([\Gamma \vdash M : T]^{\text{CR}}\) denotes the set of all complete plays in the strategy for a term \( \Gamma \vdash M : T \) from \( \text{IA}_2 \) with infinite integers obtained as in [12], where concrete values in moves and infinite summations in
A term $M$ is safe if

By induction on the typing rules. The definitions of expression and command constructs are the same.

It follows that a term is safe if its symbolic regular-language semantics is safe. Since symbolic automata are finite state, it follows that we can use model-checking to verify safety of IA term with infinite data types.

The standard regular-language semantics is fully abstract, the following result is easy to show.

**Proposition 2.** A term $M$ is safe if $[[\Gamma \vdash M]]^{CR}$ does not contain moves from $\mathcal{A}_{\text{abort}}^{\text{bool}}$.

Let $\text{Eval}$ be the set of evaluations, i.e. the set of total functions from $W$ to $\mathcal{A}_{\text{int}} \cup \mathcal{A}_{\text{bool}}$. We use $\rho$ to range over $\text{Eval}$. So we have $\rho(X^D) \in \mathcal{A}_{[D]}$ for any evaluation $\rho$ and $X^D \in W$. Given a word of symbolic letters $w$, let $\rho(w)$ be a word where every symbolic name is replaced by the corresponding concrete value as defined by $\rho$. Given a guarded word $[b,w]$, define $\rho([b,w]) = \rho(w)$ if $\rho(b) = tt$; otherwise $\rho([b,w]) = \emptyset$ if $\rho(b) = ff$. The concretization of a symbolic regular-language over a guarded alphabet is defined as follows: $\gamma \mathcal{L}(R) = \{\rho[b,w] \mid [b,w] \in \mathcal{L}(R), \rho \in \text{Eval}\}$. Let $[[\Gamma \vdash M : T]]^{SR} = \mathcal{L}[[\Gamma \vdash M : T]]$ be the strategy obtained as in Section 3, where symbols instead of concrete values are used.

**Theorem 2.** For any IA term

$$\gamma[[\Gamma \vdash M : T]]^{SR} = [[\Gamma \vdash M : T]]^{CR}$$

**Proof.** By induction on the typing rules. The definitions of expression and command constructs are the same.

Consider the case of free identifiers:

$$\gamma[[x : \text{expD}^\langle \text{x} \rangle \vdash x : \text{expD}]]^{SR} = \gamma[[q \cdot q^\langle x \rangle \cdot X^D(x) \cdot X^D]]$$

$$= \{q \cdot q^\langle x \rangle \cdot \rho(X^D(x)) \cdot \rho(X^D) \mid \rho : \{X^D \} \rightarrow \mathcal{A}_{[D]}\}$$

$$= \{q \cdot q^\langle x \rangle \cdot v^\langle x \rangle \cdot v \mid v \in \mathcal{A}_{[D]}\}$$

The other cases are similar to prove.

As a corollary we obtain the following result.

**Theorem 3.** $[[\Gamma \vdash M : T]]^{SR}$ is safe iff $[[\Gamma \vdash N : T]]^{CR}$ is safe.

By Proposition 2 and Theorem 3 it follows that a term is safe if its symbolic regular-language semantics is safe. Since symbolic automata are finite state, it follows that we can use model-checking to verify safety of IA terms with infinite data types.

In order to verify safety of a term we need to check whether the symbolic automaton representing a term contains unsafe plays. We use an external SMT solver Yices \(^1\) [11] to determine consistency of the play conditions of the discovered unsafe plays. If some play condition is consistent, i.e. there exists an evaluation $\rho$ that makes the play condition true, the corresponding unsafe play is feasible and it is reported as a genuine counter-example.

\(^1\)http://yices.csl.sri.com
Example 2. The term $M_1$ from Example 1 is abort-unsafe, with the following counter-example:

\[
\text{run run run x q x Y} [X \neq Y, \text{run}^{\text{abort}}] \text{ done}^{\text{abort}} \text{ done}^{\text{f,1}} \text{ done}^{\text{f}} \text{ done}
\]

The consistency of the play condition is established by instructing Yices to check the formula:

\[
(\text{defineX} :: \text{int})
\]

\[
(\text{defineY} :: \text{int})
\]

\[
(\text{assert} (X = Y))
\]

The following satisfiable assignments to symbols are reported: $X = 1$ and $Y = 2$, yielding a concrete unsafe play: run run run x q x Y x X+1 q done done abort done abort done abort done abort. □

Example 3. Consider the term $M_2$:

\[
\begin{align*}
N : \text{expint}^N, \text{abort} : \text{com}^{\text{abort}} & \vdash \text{newint} x := 0 \text{ in} \\
& \text{while} (x < N) \text{ do } x := x + 1; \\
& \text{if} (x > 0) \text{ then } \text{abort} : \text{com}
\end{align*}
\]

The strategy for this term (suitably adapted for readability) is given in Figure 3. Observe that the term communicates with its environment using non-local identifiers $N$ and abort. So in the model will only be represented actions of $N$ and abort. Notice that each time the term (Player) asks for a value of $N$ with the move $q^N$, the environment (Opponent) provides a new fresh value $?Z$ for it. The symbol $X$ is used to keep track of the current value of $x$. Whenever a new value for $N$ is provided, the term has three possible options depending on the current values of $Z$ and $X$: it can terminate successfully with done; it can execute abort and terminate; or it can run the assignment $x := x + 1$ and ask for a new value of $N$.

The shortest unsafe play found in the model is:

\[
[X = 0, \text{run}] q^N Z^N [X \geq Z \land X > 0, \text{run}^{\text{abort}}] \text{ done}^{\text{abort}} \text{ done}
\]

But the play condition for it, $X = 0 \land X \geq Z \land X > 0$, is inconsistent. The next unsafe play is:

\[
[X_1 = 0, \text{run}] q^N Z_1^N [X_1 < Z_1 \land X_2 = X_1 + 1, q^N] Z_2^N [X_2 \geq Z_2 \land X_2 > 0, \text{run}^{\text{abort}}] \text{ done}^{\text{abort}} \text{ done}
\]

Now Yices reports that the condition for this play is satisfiable, yielding a possible assignment of concrete values to symbols that makes the condition true: $X_1 = 0$, $Z_1 = 1$, $X_2 = 1$, $Z_2 = 0$. So it is a genuine counter-example, such that one corresponding concrete unsafe play is: run $\cdot q^N \cdot 1^N \cdot q^N \cdot 0^N \cdot \text{run}^{\text{abort}} \cdot \text{done}^{\text{abort}} \cdot \text{done}$. This play corresponds to a computation which runs the body of while exactly once.
Let us modify the $M_2$ term as follows

$$\text{new}_{\text{int}}x := 0 \text{ in while } (x < N) \text{ do } x := x + 1; \text{ if } (x > k) \text{ then abort}$$

where $k > 0$ is any positive integer. The model for this modified term is the same as shown in Figure 3, except that conditions associated with letters $\text{run}^{\text{abort}}$ (resp., $\text{done}$) are $X \geq Z \land X > k$ (resp., $X \geq Z \land X \leq k$). In this case the $(k + 1)$-shortest unsafe plays in the model are found to be inconsistent. The first consistent unsafe play corresponds to executing the body of while $(k + 1)$-times, and one possible concrete representation of it (as generated by Yices) is:

$$\text{run} \cdot q^N \cdot 1^N \cdot q^N \cdot 2^N \cdot \ldots \cdot q^N \cdot (k + 1)^N \cdot q^N \cdot 0^N \cdot \text{run}^{\text{abort}} \cdot \text{done}^{\text{abort}} \cdot \text{done}$$

$\square$

## 5 Extensions

We now extend the language with arrays of length $k > 0$. They can be handled in two ways. Firstly, we can introduce arrays as syntactic sugar by using existing term formers. An array $x[k]$ is represented as a set of $k$ distinct variables $x[0], x[1], \ldots, x[k - 1]$, such that

$$x[E] \equiv$$

if $E = 0$ then $x[0]$ else

$$\ldots$$

if $E = k - 1$ then $x[k - 1]$ else skip (abort)

If we want to verify whether array out-of-bounds errors are present in the term, i.e. there is an attempt to access elements out of the bounds of an array, we execute abort instead of skip when $E \geq k$. This approach for handling arrays is taken by the standard representation of game semantics [12, 9].

Secondly, since we work with symbols we can have more efficient representation of arrays with unconstrained length. While in the first approach the length of an array $k$ must be a concrete positive integer, in the second approach $k$ can be represented by a symbol. We use the support that Yices provides for arrays by enabling: function definitions, function updates, and lambda expressions. For each array $x[k] : \text{var}D$, we can define a function symbol $X (X : \text{int} \rightarrow D)$ in Yices as:

$$(\text{define } X :: (\rightarrow \text{int}D))$$

The function symbol $X$ can be initialized and updated as follows:

$$(\text{lambda} (\text{index} :: \text{int} \text{val}))$$

$$(\text{update} X (\text{index} \text{val}))$$

A non-local array element is expressed as follows.

$$\llbracket \Gamma, x[k] \vdash x[E] : \text{var}D \rrbracket = \llbracket \Gamma \vdash E : \text{expint}(1) \rrbracket \cdot \llbracket \Gamma, x[k] \vdash x[-] : \text{var}D \rrbracket$$

$$\llbracket \Gamma, x[k] \vdash x[-] : \text{var}D \rrbracket = \text{read} \cdot q^{(1)} \cdot ?Z^{(1)} \cdot [Z < k, \text{read}^{x[Z]}(1)] \cdot ?Z'(x[Z]) \cdot Z' + \text{write}(?)Z' \cdot q^{(1)} \cdot ?Z^{(1)} \cdot [Z < k, \text{write}(Z'(x[Z]))] \cdot \text{ok}^{(x[Z])} \cdot \text{ok}$$
If we want to check for array out-of-bounds errors, we extend this interpretation by including plays that perform moves associated with abort command when \( Z \geq k \). For example, the de-referencing (reading) part of the interpretation will be given as follows:

\[
\text{read} \cdot q^{(1)} \cdot \langle Z < k, \text{read}^{(x[Z])}\rangle \cdot \langle Z' \geq k, \text{run}^{(\text{abort})}\rangle \cdot \text{done}^{(\text{abort})} \cdot 0
\]

The automaton \( A \) for \( [\Gamma \vdash \text{new}_D x[k] := v \cdot \text{in}M] \), where \( A_M \) represents \( [\Gamma, x[k] \vdash M] \), is obtained as follows. We first construct \( A_e \) by eliminating \( x \)-tagged moves from \( A_M \).

\[
Q_e = Q_M, \quad i_e = i_M, \quad F_e = F_M
\]

\[
\delta_e = \{ i_M \mid q_1 \vdash i_M \quad q \in \delta_M \} + \{ q_1 \vdash q_2 \mid (q_1 \vdash q_2) \in \delta_M \}
\]

\[
\{ q_1 \vdash \exists q. (q_1 \vdash q_2) \mid (q_1 \vdash q_2) \in \delta_M \}
\]

We use \( X(j):= v \) to mean that the function symbol \( X \) is initialized to \( v \) for all its arguments, while \( X(a') := a \) means that \( X \) at argument \( a' \) is updated to \( a \). The final automaton \( A \) is generated by removing \( \varepsilon \)-letters from \( A_e \), similarly as it was done for the case of \( \text{new}_D \) in Theorem 1.

### 6 Implementation

We have developed a prototype tool in Java, called SYMBOLIC GAMECHECKER, which automatically converts an IA2 term with integers into a symbolic automaton which represents its game semantics. The model is then used to verify safety of the term. Further examples as well as detailed reports of how they execute on SYMBOLIC GAMECHECKER are available from:

http://www.dcs.warwick.ac.uk/~aleks/symbolicgc.htm.

Along with the tool we have also implemented in Java our own library of classes for working with symbolic automata. We could not just reuse some of the existing libraries for finite-state automata, due to the specific nature of symbolic automata we use. The symbolic automata generated by the tool is checked for safety. We use the breadth-first search algorithm to find the shortest unsafe play in the model. Then the Yices is called to check consistency of its condition. If the condition is found to be consistent, the unsafe play is reported; otherwise we search for another unsafe play. If no unsafe play is discovered or all unsafe plays are found to be inconsistent, then the term is deemed safe. The tool also uses a simple forward reachability algorithm to remove all unreachable states of a symbolic automaton.

Let us consider the following implementation of the linear search algorithm.

\[
x[k] \quad : \text{varint}^{[\cdot]} \quad y \quad : \text{expint} \quad \text{abort} \quad : \text{com}^{(\text{abort})} \quad \vdash
\]

\[
\text{new}_\text{int} \quad i := 0 \text{in}
\]

\[
\text{new}_\text{int} \quad p := y \text{in}
\]

\[
\text{while}(i < k) \quad \text{do}
\]

\[
\quad \text{if}(x[i] = p) \text{ then abort;}
\]

\[
\quad i := i + 1;
\]

\[
\quad : \text{com}
\]

The program first remembers the input expression \( y \) into a local variable \( p \). The non-local array \( x \) is then searched for an occurrence of the value stored in \( p \). If the search succeeds, then abort is executed.
The symbolic model for this term is shown in Fig. 4, where for simplicity array out-of-bounds errors are not taken in the consideration. If the value read from the environment for \( y \) has occurred in \( x \), then an unsafe behaviour of the term exists. So this term is unsafe, and the following counter-example is found:

\[
[I_1 = 0 \land k > 0, \text{run}] \xrightarrow{y^y} [P = Y \land I_1 < k, \text{read}^{x[I_1]} Z^{[I_1]}] \\
[Z = P, \text{run}^{\text{abort}}] \xrightarrow{\text{done}^{\text{abort}}} [I_2 = I_1 + 1 \land I_2 \geq k, \text{done}]
\]

This play corresponds to a term with an array \( x \) of size \( k = 1 \), where the values read from \( x[0] \) and \( y \) are equal.

Overall, the symbolic model for linear search term has 9 states and the total time needed to generate the model and test its safety is less than 1 sec. We can compare this approach with the tool in [9], where the standard representation based on CSP process algebra of terms with finite data types is used. We performed experiments for the linear search term with different sizes of \( k \) and all integer types replaced by finite data types. The types of \( x, y, \) and \( p \) is \( \text{int}_n \), i.e. they contain \( n \) distinct values \( \{0, \ldots, n-1\} \), and the type of the index \( i \) is \( \text{int}_{k+1} \), i.e. one more than the size of the array. Such term was converted into a CSP process [9], and then the FDR model checker was used to generate its model and test its safety. Experimental results are shown in Table 4, where we list the execution time in seconds, and the size of the final model in number of states. The model and the time increase very fast as we increase the sizes of \( k \) and \( n \). We ran FDR and SYMBOLIC GAMECHECKER on a Machine AMD Phenom II X4 940 with 4GB RAM.

<table>
<thead>
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<th>( k )</th>
<th>( n = 2 )</th>
<th>( n = 3 )</th>
</tr>
</thead>
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<tr>
<td></td>
<td>Time</td>
<td>Model</td>
</tr>
<tr>
<td>1</td>
<td>&lt; 1</td>
<td>11</td>
</tr>
<tr>
<td>5</td>
<td>1</td>
<td>43</td>
</tr>
<tr>
<td>10</td>
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<td>83</td>
</tr>
<tr>
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</tr>
</tbody>
</table>

Table 4: Verification of the linear search with finite data
7 Conclusion

We have shown how to reduce the verification of safety of game-semantics infinite-state models of IA2 terms to the checking of the more abstract finite symbolic automata.

Counter-example guided abstraction refinement procedures (ARP) [7, 8] can also be used for verification of terms with infinite integers. However, they find solutions after performing a few iterations in order to adjust integer identifiers to suitable abstractions. In each iteration, one abstract term is checked. If an abstract term needs larger abstractions, then it is likely to obtain a model with very large state space, which is difficult (infeasible) to generate and check automatically. The symbolic approach presented in this paper provides solutions in only one iteration, by checking symbolic models which are significantly smaller than the abstract models in ARP. The possibility to handle arrays with unconstrained length is another important benefit of this approach. Extensions to nondeterministic [10] and concurrent [13] terms can be interesting to consider.

References


