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Advanced Database Technology

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SYSTEM FAILURES

Lecture based on [GUW 17.1+17.2.4+5, 17.4]

Slides based on
Notes 08: Failure recovery
for Stanford CS 245, fall 2002
by Hector Garcia-Molina

This lecture

- Logging of **transactions** in order to allow **recovery** in case of a system failure.
- Next week:
 - Reliable disk systems (RAID)
 - Concurrent transactions

Transactions

- **Transactions** are user-defined groups of updates to the database.
- Next week we consider the possibility of many **concurrent transactions**, but for now assume that transactions occur one by one.
- Basic property: Transactions are **atomic** (to maintain consistency).

Handling failures during transactions?

Types of system events

Desired events: See product manuals.

Undesired expected events:

System crash

- memory lost

- cpu halts, resets

That's it!!

Undesired unexpected: Everything else!

Undesired unexpected: Everything else!

Examples:

- Disk data is lost
- Memory lost without CPU halt
- CPU implodes wiping out universe...

We deal only with expected events

Simplified view of DB operations

- Input (x): block with $x \rightarrow$ memory
- Output (x): block with $x \rightarrow$ disk

} controlled
by buffer
manager

Assumption:

Storage is non-resilient and writes are atomic.

- Read (x,t): do Input(x) if necessary
 $t \leftarrow$ value of x in block
- Write (x,t): do Input(x) if necessary
value of x in block $\leftarrow t$

} controlled
by trans-
actions

Logging

- To enable recovery, database systems use **logging** of changes to data on disk.
- Arguably, the simplest logging strategy is **undo logging** (due to Hansel and Gretel, 782 AD; improved in 783 AD to durable undo logging).
- We consider the more flexible **undo/redo logging**.

Undo/redo logging

- Whenever a database element X is going to be changed ($\text{Write}(x,t)$) by transaction T_i , we must **first** write to the log an entry of the form:
`<Ti, X, New X val, Old X val>`
- Whenever a transaction T_i commits, we write to the log the entry:
`<COMMIT Ti>`
- Important that disk cache is flushed!

Problem session

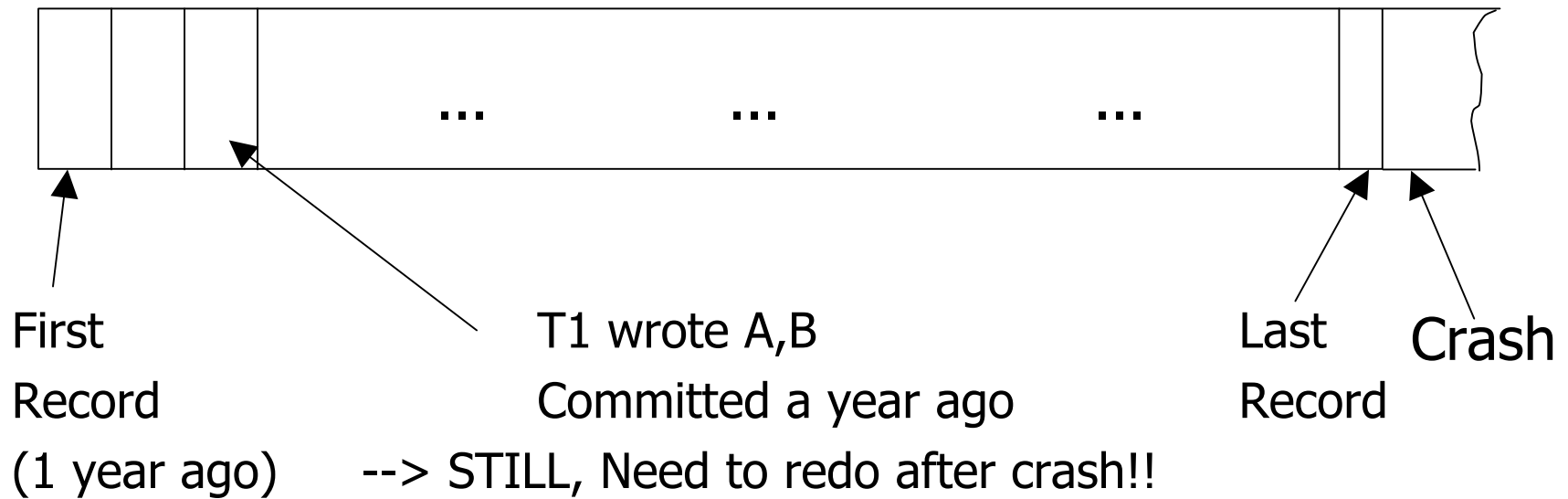
- Suppose that a DB crashes, and that an undo/redo log is available.
- How can we recover to a consistent state, i.e., one in which every transaction has either been fully executed, or not executed at all?

Recovery using undo/redo log

- Status: Some of the logged DB changes have been written to the DB, others have not.
- We may redo all transactions T **with** `<COMMIT T>` in the log, in the order that DB elements were changed.
- We may undo all transactions I **without** `<COMMIT T>` in the log, in the opposite order of that in which DB elements were changed.

Recovery can be very, very SLOW

Log:



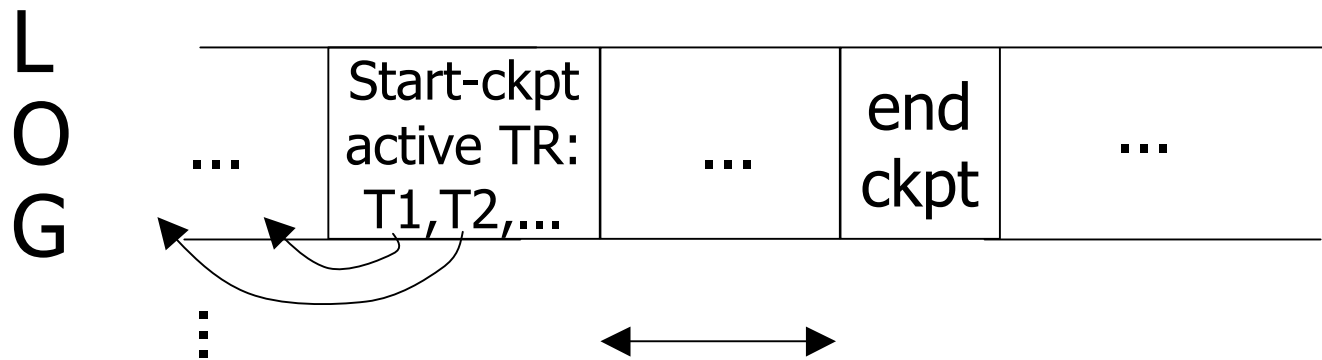
Checkpoints (simple version)

Periodically:

- (1) Do not accept new transactions
- (2) Wait until all transactions finish
- (3) Flush all log records to disk (log)
- (4) Flush all DB buffers to disk (don't discard buffers)
- (5) Write **checkpoint** record on disk (log)
- (6) Resume transaction processing

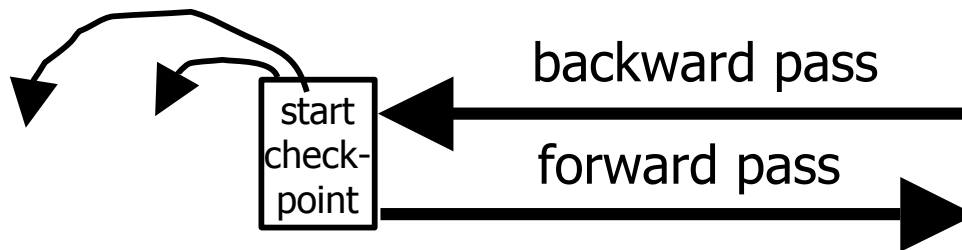
Non-quiescent checkpoints

Idea: Record ongoing transactions at checkpoint.

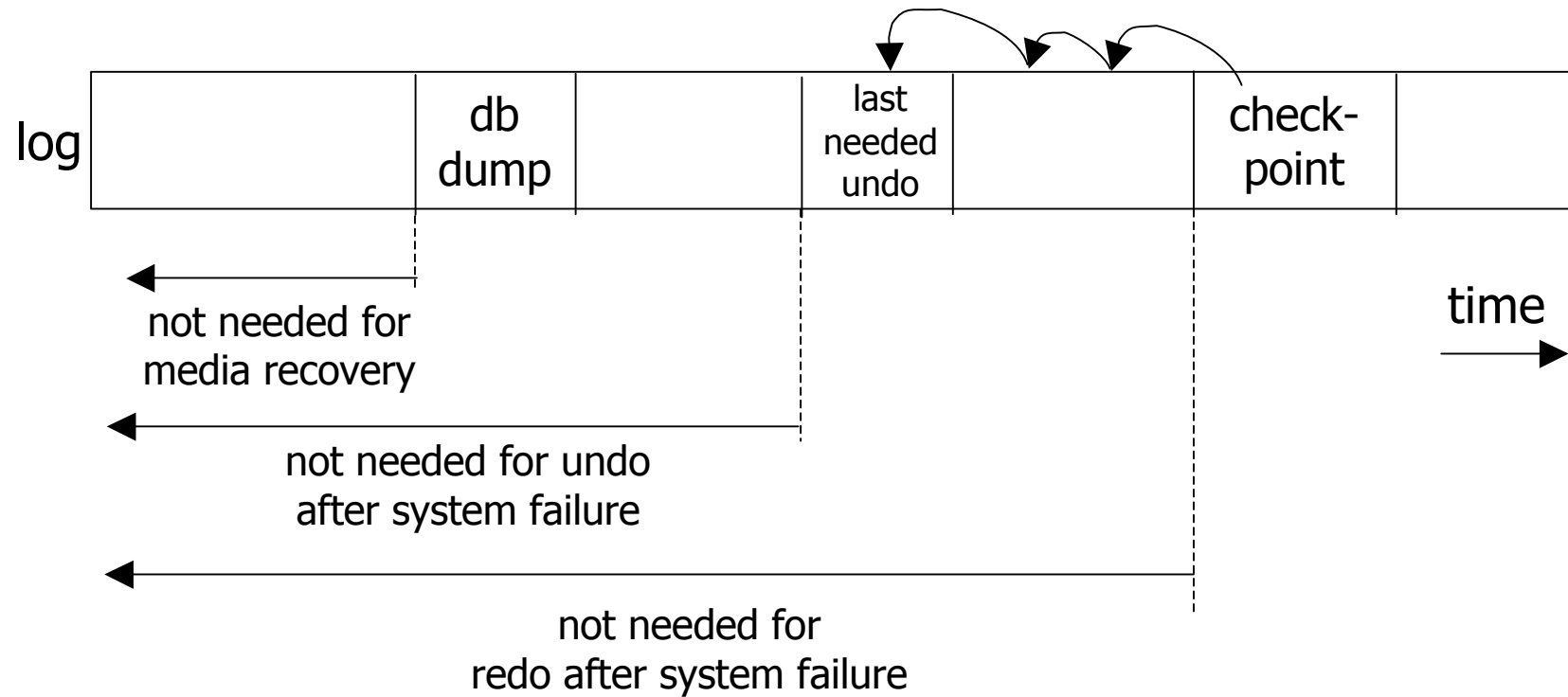


Undo/redo log recovery

- **Backwards pass** (end of log to latest checkpoint start)
 - construct set S of committed transactions
 - undo actions of transactions not in S
- **Undo pending transactions**
 - follow undo chains for transactions in checkpoint active list and not in S
- **Forward pass** (latest checkpoint start to end of log)
 - redo actions of S transactions



When can log be discarded?



Summary

- We can ensure that transactions are atomic, even in the presence of system failures, using undo/redo logging.
- Underlying assumption: Storage is non-resilient and writes are atomic.
- **Next week:**
Separate techniques such as RAID ensure reliable non-resilient storage.