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# CCS: Equivalences

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# Outline

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- Recap
- A Tale of Two **Coca-Cola** Machines
- About Equivalences
- *Strong Bisimulation*
- The Strong Bisimulation Game
- Properties
- Model-Checking
- CCS Tools

# CCS Syntax

## ■ CCS Syntax:

$$P ::= 0 \mid \alpha.P \mid P+P \mid P|P \mid P\backslash a \mid P[f] \mid K$$

- “0” // inaction ... where  $x \stackrel{\text{def}}{=} P, Y \stackrel{\text{def}}{=} Q, \dots$
- “ $\alpha.P$ ” // action prefix
- “ $P+P$ ” // non-deterministic choice
- “ $P|P$ ” // parallel composition
- “ $P\backslash a$ ” // restriction (private name)
- “ $P[f]$ ” // action relabelling
- “ $K$ ” // process variable

Note: *restrictions on f*

$f:Act \rightarrow Act$
$\forall a: f(\bar{a}) = \overline{f(a)}$ $\wedge f(\tau) = \tau$

# SOS for CCS

## ■ *Structural Operational Semantics:*

$$\begin{array}{c} \frac{\text{[DEF]} \quad P \xrightarrow{\alpha} P'}{K \xrightarrow{\alpha} P'} \quad K \stackrel{\text{def}}{=} P \quad \frac{\text{[ACT]} \quad \alpha.P \xrightarrow{\alpha} P}{\alpha.P \xrightarrow{\alpha} P} \quad \frac{\text{[SUM]} \quad P_j \xrightarrow{\alpha} P'_j \quad j \in I}{\sum_{i \in I} P_i \xrightarrow{\alpha} P'_j} \\ \\ \frac{\text{[COM}_1\text{]} \quad P \xrightarrow{\alpha} P'}{P \mid Q \xrightarrow{\alpha} P' \mid Q} \quad \frac{\text{[COM}_2\text{]} \quad Q \xrightarrow{\alpha} Q'}{P \mid Q \xrightarrow{\alpha} P \mid Q'} \quad \frac{\text{[COM}_3\text{]} \quad P \xrightarrow{a} P' \quad Q \xrightarrow{\bar{a}} Q'}{P \mid Q \xrightarrow{\tau} P' \mid Q'} \\ \\ \frac{\text{[REN]} \quad P \xrightarrow{\alpha} P'}{P[f] \xrightarrow{f(\alpha)} P'[f]} \quad \frac{\text{[RES]} \quad P \xrightarrow{\alpha} P'}{P \setminus L \xrightarrow{\alpha} P' \setminus L} \quad \alpha, \bar{\alpha} \notin L \end{array}$$

**Q: why  $\tau$  (tau) in communication “ $P \mid Q$ ” (instead of propagating  $\bar{a}$  or  $a$ ) ?**  
 $\tau \sim \text{“the unobservable hand-shake”}$

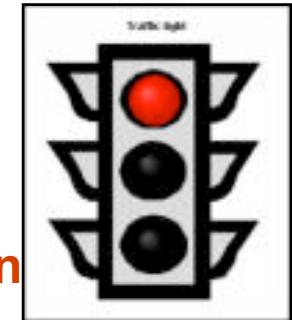
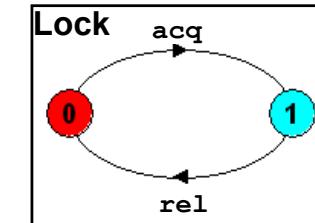
# Example: Lock ("Mutual Exclusion")

## ■ Example: ("mutex") Lock

■ `Lock def = acq.rel.Lock`

■ `User def = acq.enter.exit.rel.User` // critical region

■ `Mutex = (User | Lock) \ {acq,rel}`



aka. a  
"semaphore"

■ `User' def = User[enter'/enter,exit'/exit]`

■ `System def = (User | Lock | User') \ {acq,rel}`

■ Q: How are the critical *enters* and *exits* related ?

■ A: never two enters without exit in between

Historically:

■ `Sema def = p.v.Sema`

"Passen" (Dutch: to pass)  
**Prolaag and Verhoog ?**  
vrijgeven (Dutch: to give free)

} E. Dijkstra

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# A TALE OF TWO *Coca-Cola* MACHINES

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## Keywords:

- equality
- equivalence (equivalence relations)
- congruence

# Once upon a time...

## ■ Dispenser:

- `coin . (coke + sprite)`



## ■ Dispenser':

- `coin.coke + coin.sprite`



**Would you consider them equal '=' ?**

**Would you consider them equivalent '≈' ?**

**What does it at all mean for them to be equivalent '≈' ?!?**

# Equal vs. Equivalent

- *Equal (concrete identity):*

- $3 = 3$

- *Equivalent (abstract):*

- $3 \approx 003$
  - $3_{10} \approx 0x03_{16} \approx \backslash 003_8 \approx 0011_2$
  - $3 \approx \text{three}$
  - $3 \approx \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array}$
  - $3 \approx 1+2$
  - $3 \approx \sum_{i=0}^2 i$
  - $3 \approx \boxed{\text{let } n=2 \text{ in } n*(n-1)+(n-2)}$

more abstract

# Trace Equivalence

## ■ Definition: *Trace Equivalence*:

- Two processes  $P$  and  $Q$  are *trace equivalent* “ $\approx_{tr}$ ” iff:
  - They can produce the same traces:
  - $\text{Traces}(P) = \{ \omega \in \text{Act}^* \mid \exists Q : P^\omega \xrightarrow{*} Q \}$

## ■ Example:

- $\text{Traces}(\text{coin} . (\overline{\text{coke}} + \overline{\text{sprite}}))$   
 $= \{ \epsilon, \text{coin}, \text{coin};\overline{\text{coke}}, \text{coin};\overline{\text{sprite}} \}$
- $\text{Traces}(\overline{\text{coin}}.\overline{\text{coke}} + \overline{\text{coin}}.\overline{\text{sprite}})$   
 $= \{ \epsilon, \text{coin}, \text{coin};\overline{\text{coke}}, \text{coin};\overline{\text{sprite}} \}$



Hence:



# Contextual Composition...?

## ■ Recall:

- $\text{coin} . (\overline{\text{coke}} + \overline{\text{sprite}})$
- $\text{coin}.\overline{\text{coke}} + \text{coin}.\overline{\text{sprite}}$



Idea (can we...?):  
put the two  
dispensers in a  
**context** where they  
can be differentiated !

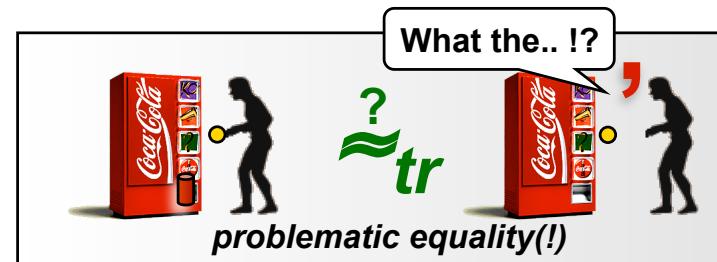
## ■ "Coke-only-drinker":

- $\overline{\text{coin}} . \overline{\text{coke}} . \overline{\text{drink}}$



Trace equivalence  
cannot distinguish  
the two dispensers.

## ■ *Contextual composition:*



The coke drinker  
is certainly able to  
to distinguish the  
two dispensers !!

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# ABOUT EQUIVALENCES

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Keywords:

- equivalence relation
- congruence
- properties

# CCS: “*Single-Language Formalism*”

- CCS is a so-called “*Single-lang. formalism*”;
  - i.e. one may specify both:
    - *implementation*      ( 

IMPL = <sub>def</sub> ...
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 )
    - and *specification*      ( 

SPEC = <sub>def</sub> ...
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 )
- We would like to check via some (reasonable) *equivalence*,  $\mathcal{R}$ , that:
  - “*The implementation has the intended behavior*”:
    - |      |
|------|
| SPEC |
|------|

 $\mathcal{R}$ 

IMPL
------

 the spec. and impl. are “*equivalent*”

# Def: Equivalence Relation

- Let  $\mathcal{R}$  be a binary relation over set  $A$ :
  - $\mathcal{R} \subseteq A \times A$
- $\mathcal{R}$  is an *equivalence relation* iff:
  - *Reflexive*:
    - ^ ■  $\forall x \in A: x \mathcal{R} x$
  - *Symmetric*:
    - ^ ■  $\forall x, y \in A: x \mathcal{R} y \Leftrightarrow y \mathcal{R} x$
  - *Transitive*:
    - $\forall x, y, z \in A: x \mathcal{R} y \wedge y \mathcal{R} z \Rightarrow x \mathcal{R} z$

**Q: is trace equivalence “ $\approx_{tr}$ ” an equivalence relation ?**

# ...and (equivalence relation)

- ...and we would like ( $\mathcal{R}$  to be an *equiv. rel.*):
  - *reflexivity* :
    - **SYS**  $\mathcal{R}$  **SYS** (same behavior as itself) !
  - *transitivity* (for stepwise modelling/refinement) !!! :
    - $S_0 \mathcal{R} S_1 \mathcal{R} \dots \mathcal{R} S_n \mathcal{R} \text{IMPL} \Rightarrow S_0 \mathcal{R} \text{IMPL}$
  - *symmetry* (just a nice property to have in that):
    - $S \mathcal{R} S' \equiv S' \mathcal{R} S$

Is *trace equivalence*, ' $\approx_{tr}$ ', an equivalence relation ?

Yes ☺

# ...and (satisfy properties)

- Furthermore, we would like these properties:

- $P+Q \mathcal{R} Q+P$  // '+' commutative
- $(P+Q)+R \mathcal{R} P+(Q+R)$  // '+' associative
- $P|Q \mathcal{R} Q|P$  // '|' commutative
- $(P|Q)|R \mathcal{R} P|(Q|R)$  // '|' associative
- $0+P \mathcal{R} P$  // '0' is neutral wrt. '+'
- $0|P \mathcal{R} P$  // '0' is neutral wrt. '|'
- ...

Trace equivalence ' $\approx_{\text{tr}}$ ' ?

Yes ☺

# ...and (congruence wrt. CCS)

- Definition: “ $\mathcal{R}$ ” congruence (wrt. CCS):
  - $P \mathcal{R} Q \Rightarrow C[P] \mathcal{R} C[Q]$ , for all contexts  $C[]$ 
    - “relation is preserved under contextual substitution”
- A context = a process with a gap:
  - $C : \alpha. [] \mid [] + P \mid P + [] \mid [] \parallel P \mid P \parallel [] \mid [] [f] \mid [] \backslash a$

## ■ Examples:

- $P \mathcal{R} Q \Rightarrow P + R \mathcal{R} Q + R$
- $P \mathcal{R} Q \Rightarrow P \parallel S \mathcal{R} P \parallel S$
- $P \mathcal{R} Q \Rightarrow a. P \mathcal{R} a. Q$
- $P \mathcal{R} Q \Rightarrow ((a. P \parallel R) + S) \backslash x \mathcal{R} ((a. Q \parallel R) + S) \backslash x$

$[] + R$

$[] \parallel S$

$a. []$

?<sup>2</sup>

*Congruence ‘ $\approx_{tr}$ ’ ?*

# Trace Equiv. ~ DFM Acceptance

- Recall: a deterministic finite automaton, A:
  - is completely identified by its *set of traces*:  $\mathcal{L}(A)$
- Trace equivalence ~ DFA acceptance:
  - (without accept states - by construction)
  - $P \approx_{tr} Q$  iff they can produce the same traces

This point of view is totally justified and natural if we view our LTSs as non-deterministic devices that may generate or accept sequences of actions. However, is it still a reasonable one if we view our automata as reactive machines that *interact with their environment* ?

-- [Aceto, Larsen, Ingólfssdóttir, p. 33]

# ...and (abstract)

- We would also like  $\mathcal{R}$  to:
  - abstract away from:
    - (*particular choices of*) *states*
    - *non-determinism*
    - *internal (unobservable) behavior*
  - Consider only *behavior (observable actions)*

*Trace equivalence ' $\approx_{\text{tr}}$ '?*

No ☹

**$\approx_{\text{tr}}$  doesn't take communication capabilities of intermediate states into account (can make a difference when made to interact w/ another system)**

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# **S**TONG BISIMULATION: ( $\sim$ )

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# Def: A Strong Bisimulation

- Let  $(\mathbf{Proc}, \mathbf{Act}, \xrightarrow{a})$  be a LTS
- **Def:** a bin. rel.  $\mathcal{R} \subseteq \mathbf{Proc} \times \mathbf{Proc}$  is a strong bisimulation iff whenever  $(s, t) \in \mathcal{R} : \forall a \in \mathbf{Act} :$ 
  - if  $s \xrightarrow{a} s'$  then  $t \xrightarrow{a} t'$  for some  $t'$  such that  $(s', t') \in \mathcal{R}$
  - if  $t \xrightarrow{a} t'$  then  $s \xrightarrow{a} s'$  for some  $s'$  such that  $(s', t') \in \mathcal{R}$
- Note:
  - 1. Definition on LTS (*not necessarily wrt. processes*)
  - 2. Definition *relative to a (SOS) semantics* (via LTS)

Intuition: “**Only equate as consistently allowed by the semantics**”

# Def: Strongly Bisimilar ( $\sim$ )

## ■ A Strong Bisimulation:

- **Def:** a bin. rel.  $\mathcal{R} \subseteq \text{Proc} \times \text{Proc}$  is a strong bisimulation iff whenever  $(s, t) \in \mathcal{R} : \forall a \in \text{Act} :$ 
  - if  $s \xrightarrow{a} s'$  then  $t \xrightarrow{a} t'$  for some  $t'$  such that  $(s', t') \in \mathcal{R}$
  - if  $t \xrightarrow{a} t'$  then  $s \xrightarrow{a} s'$  for some  $s'$  such that  $(s', t') \in \mathcal{R}$

## ■ The Strong Bisimilarity relation ( $\sim$ ):

- **Def:** two (processes)  $s$  and  $t$  are strongly bisimilar ( $s \sim t$ ) iff  $\exists$  strong bisimulation  $\mathcal{R} : (s, t) \in \mathcal{R}$ .
  - i.e.  $\sim := \bigcup \{ \mathcal{R} \mid \mathcal{R} \text{ is a strong bisimulation} \}$

# Basic Properties of ( $\sim$ )

## ■ Theorem:

- ' $\sim$ ' is an equivalence relation

## ■ Theorem:

- ' $\sim$ ' is *the largest strong bisimulation*
  - i.e. for any bisimulation  $\mathcal{R}$  we have that:  $\mathcal{R} \subseteq \sim$

## ■ Theorem:

- $s \sim t$  iff  $\forall a \in \text{Act}$ :
  - if  $s \xrightarrow{a} s'$  then  $t \xrightarrow{a} t'$  for some  $t'$  such that  $s' \sim t'$
  - if  $t \xrightarrow{a} t'$  then  $s \xrightarrow{a} s'$  for some  $s'$  such that  $s' \sim t'$

# How to Prove Strong Bisimilarity ?

- *How to prove strong bisimilarity for two processes ?*
  - i.e.  $p \sim q$  ?:
- *Exhibit a (any) bisimulation  $\mathcal{R}$ , for which:*
  - $(s, t) \in \mathcal{R}$ 
    - By definition we get that:
      - $(s, t) \in \mathcal{R} \subseteq \sim$  since ' $\sim$ ' was the *largest* bisimulation

# Example Proof of Bisimilarity

- Example:

- Buffer (capacity 1):

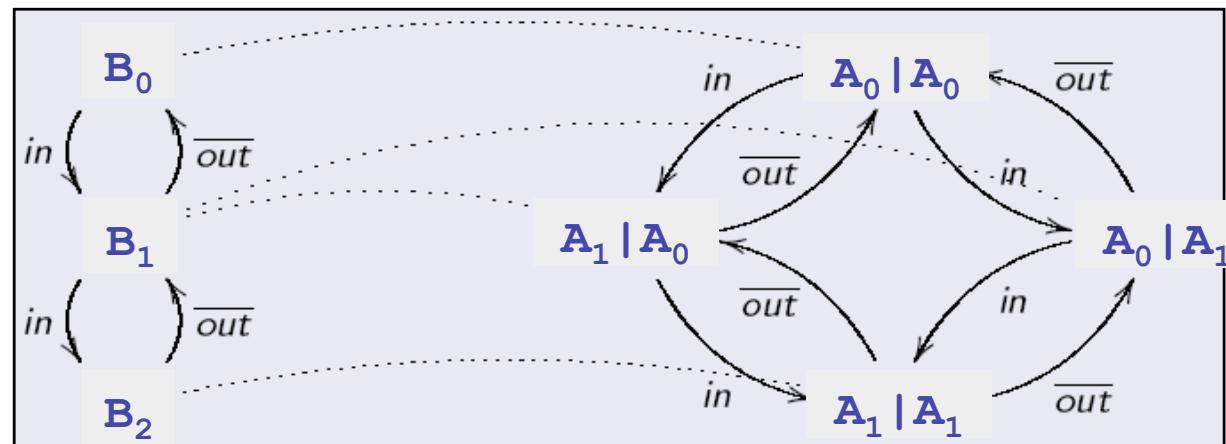
$$\begin{array}{lcl} A_0 & =_{\text{def}} & \underline{\text{in}} \cdot A_1 \\ A_1 & =_{\text{def}} & \underline{\text{out}} \cdot A_0 \end{array}$$

- Buffer (capacity 2):

$$\begin{array}{lcl} B_0 & =_{\text{def}} & \text{in} \cdot B_1 \\ B_1 & =_{\text{def}} & \underline{\text{in}} \cdot B_2 + \underline{\text{out}} \cdot B_0 \\ B_2 & =_{\text{def}} & \underline{\text{out}} \cdot B_1 \end{array}$$

- Show that:  $B_0 \sim A_0 | A_0$

$$R = \{ (B_0, A_0 | A_0), (B_1, A_1 | A_0), (B_1, A_0 | A_1), (B_2, A_1 | A_1) \}$$



# Example Proof of Bisimilarity

Consider the processes

$$C_1 \stackrel{\text{def}}{=} \text{tick}.C_1$$

$$C_2 \stackrel{\text{def}}{=} \text{tick}.\text{tick}.C_2$$

$B_1 = \{(C_1, C_2)\}$  is not a bisimulation.

$B_2 = \{(C_1, C_2), (C_1, \text{tick}.C_2)\}$  is a bisimulation.

**Exercise** Prove that  $B_2$  is a bisimulation.

# Example Proof of Bisimilarity

$$\text{Sem} \stackrel{\text{def}}{=} \text{get.Sem}'$$

$$\text{Sem}' \stackrel{\text{def}}{=} \text{put.Sem}$$

$$\text{Sem2}_0 \stackrel{\text{def}}{=} \text{get.Sem2}_1$$

$$\text{Sem2}_1 \stackrel{\text{def}}{=} \text{get.Sem2}_2 + \text{put.Sem2}_0$$

$$\text{Sem2}_2 \stackrel{\text{def}}{=} \text{put.Sem2}_1$$

$$B = \{ (\text{Sem2}_0, \text{Sem} \mid \text{Sem}), \\ (\text{Sem2}_1, \text{Sem}' \mid \text{Sem}), \\ (\text{Sem2}_1, \text{Sem} \mid \text{Sem}'), \\ (\text{Sem2}_2, \text{Sem}' \mid \text{Sem}') \}$$

is a bisimulation.

# Example Proof of Bisimilarity

The processes  $a.(b.0 + c.0)$  and  $a.b.0 + a.c.0$  are **not** bisimilar.

Assume there is a bisimulation  $B$  containing the pair

$$(a.(b.0 + c.0), a.b.0 + a.c.0)$$

Then  $B$  also contains

$$((b.0 + c.0), b.0)$$

The left process can do a **c**, but the right one cannot. Contradiction.

a.0	a.a.0
a.0	a.0 + a.0
a.0	a.0   a.0
a.a.0	a.0   a.0
a.b.0	a.0   b.0
a.b.0 + b.a.0	a.0   b.0
a. $\bar{a}$ .0 + $\bar{a}$ .a.0	a.0   $\bar{a}$ .0
a. $\bar{a}$ .0 + $\bar{a}$ .a.0 + $\tau$ .0	a.0   $\bar{a}$ .0
$\tau$ .0	new $a$ (a.0   $\bar{a}$ .0)

Which ones are bisimilar?

# How to Prove Non-Bisimilarity ?

- *How to prove non-bisimilarity ?*

- i.e.  ?

- *Enumerate all binary relations:*

- Check that none are bisimulations and contain  $(p,q)$ 
    - **However:** *extremely expensive*  $O(2^{|p||q|})$

- Use “*Feynman Problem-Solving Algorithm*”:

- (1). Write down the problem;
  - (2). Think very hard;
  - (3). Write down the answer. ☺

- Or...

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# ( $\sim$ ) BISIMULATION GAMES

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# The (Strong) Bisimulation Game

- Let  $(\mathbf{Proc}, \mathbf{Act}, \xrightarrow{a})$  be a LTS and  $s, t \in \mathbf{Proc}$
- Define *2-player game*: [ *attacker*  $\vee$  *defender* ]
  - The game is played in “rounds” and the *configurations* of the game are  $(\mathbf{Proc} \times \mathbf{Proc})$ ;
    - The game starts (first round) in  $(s, t) \in \mathbf{Proc} \times \mathbf{Proc}$
- Intuition (objectives):
  - The *defender* wants to show that:  $s \sim t$
  - The *attacker* wants to show that:  $s \not\sim t$

# Rules of the Bisimulation Game

- In round  $k$  the players change the current configuration  $(s_k, t_k)$  as follows:
  - First, the *attacker chooses*:
    - 1) one of the processes (e.g.  $t_k$ ); i.e., *left* or *right*;
    - 2) a legal action from that process:  $a \in \text{Act}$ ;
    - 3) a legal transition according to the LTS:  $t_k \xrightarrow{a} t_{k+1}$
  - Then, the *defender chooses*:
    - -) a “counter-move” using *same action*,  $a$ :  $s_k \xrightarrow{a} s_{k+1}$
  - $(s_{k+1}, t_{k+1})$  becomes the next round’s configuration...

- Winning:
  - If one player (only) cannot move, the other player wins
  - If the game is infinite (repeats configuration), the defender wins

# Game Characterization of ( $\sim$ )

## ■ Theorem:

- States (processes)  $s$  and  $t$  are *not* strongly bisimilar iff the *attacker* has a ***universal winning strategy***
- States (processes)  $s$  and  $t$  are strongly bisimilar iff the *defender* has a ***universal winning strategy***

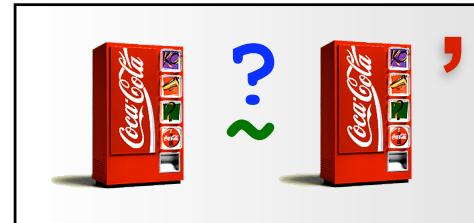
- $(s \not\sim t)$  basically means that:  
*“the ‘perfect attacker’ always wins”*



- $(s \sim t)$  basically means that:  
*“the ‘perfect defender’ always wins”*

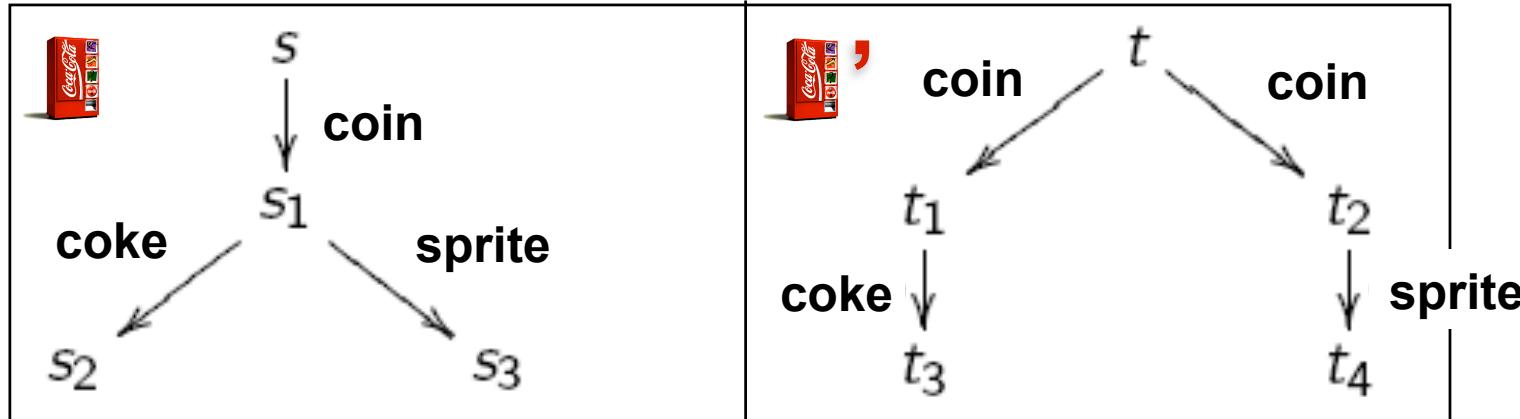
# Let's Play...

- Let's play....:



coin . (coke + sprite)

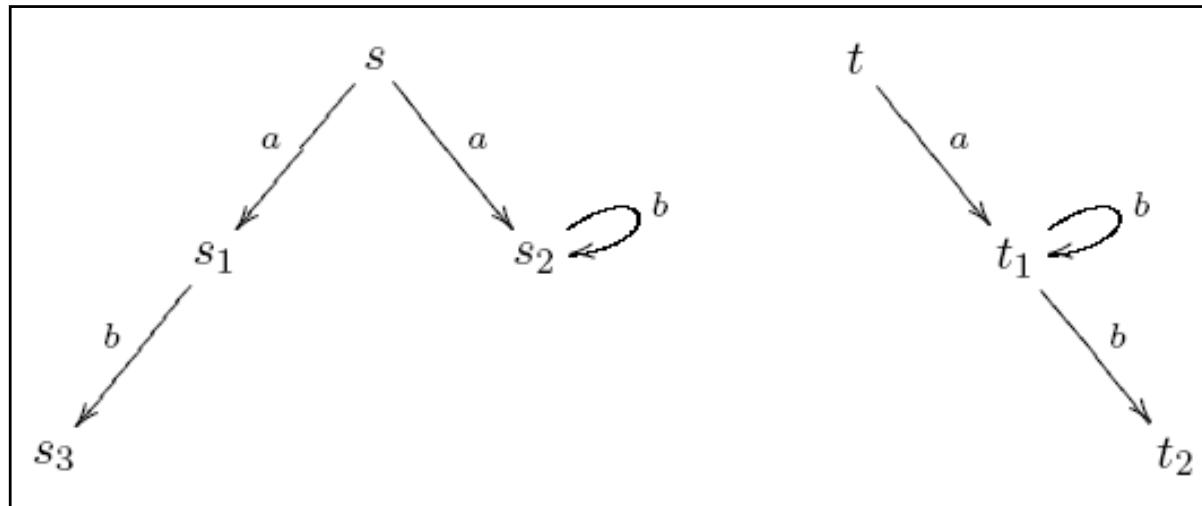
coin.coke + coin.sprite



- $\sim$  /  $\not\sim$  ? show of hands...

# Another Game...

- Are the following two LTS(/processes)  $s$  and  $t$  *strongly bisimilar*:  $s \sim t$  ?



- There's a *universal attack strategy*  $\Rightarrow$  hence, they are *not strongly bisimilar* :  $s \not\sim t$

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# (~) CONGRUENCE

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# $(\sim)$ is a Congruence for CCS

## ■ Theorem:

- Let  $P$  and  $Q$  be processes such that  $P \sim Q$ ; then:
  - $\alpha.P \sim \alpha.Q \quad \forall \alpha \in \text{Act}$
  - $P+R \sim Q+R \quad \forall R \in \text{Proc}$
  - $R+P \sim R+Q \quad \forall R \in \text{Proc}$
  - $P|R \sim Q|R \quad \forall R \in \text{Proc}$
  - $R|P \sim R|Q \quad \forall R \in \text{Proc}$
  - $P[f] \sim Q[f] \quad \forall f : P(\text{Act}) \rightarrow P(\text{Act})$  relabellings
  - $P\backslash a \sim Q\backslash a \quad \forall a \in \text{Act} \setminus \{\tau\}$

- i.e. ' $\sim$ ' is a congruence for CCS

# The Expansion Law

**The expansion law states that a process with parallel compositions is bisimilar to a (possibly huge) process without parallel compositions**

$$P_1 \sim a.P_{11} + b.P_{12} + a.P_{13}$$

$$P_2 \sim \bar{a}.P_{21} + c.P_{22},$$

$$\begin{aligned} P_1|P_2 \sim & a.(P_{11}|P_2) + b.(P_{12}|P_2) + a.(P_{13}|P_2) \\ & + \bar{a}.(P_1|P_{21}) + c.(P_1|P_{22}) \\ & + \tau.(P_{11}|P_{21}) + \tau.(P_{13}|P_{21}) \end{aligned}$$

# The Expansion Law (2)

$$P_1 \mid \dots \mid P_m \sim$$

$$\sum \{\alpha_{ij}.Q_{ij} : 1 \leq i \leq m \text{ and } 1 \leq j \leq n_i\} +$$

$$\sum \{\tau.Q_{klij} : 1 \leq k < i \leq m \text{ and } \alpha_{kl} = \bar{\alpha}_{ij} \neq \tau\},$$

$$Q_{ij} = P_1 \mid \dots \mid P_{i-1} \mid P_{ij} \mid P_{i+1} \mid \dots \mid P_m$$

$$\begin{aligned} Q_{klij} = & P_1 \mid \dots \mid P_{k-1} \mid P_{kl} \mid P_{k+1} \mid \\ & \dots \mid P_{i-1} \mid P_{ij} \mid P_{i+1} \mid \dots \mid P_m. \end{aligned}$$

( $\alpha_{kl} = \bar{\alpha}_{ij} \neq \tau$  means  $\alpha_{kl} = a$  and  $\alpha_{ij} = \bar{a}$  or

$\alpha_{ij} = a$  and  $\alpha_{kl} = \bar{a}$ , for action name  $a$ )

# Other Properties of ( $\sim$ )

- The following properties hold  $\forall P, Q, R$ :

- $P+Q \sim Q+P$  // '+' commutative
- $(P+Q)+R \sim P+(Q+R)$  // '+' associative
- $P|Q \sim Q|P$  // '|' commutative
- $(P|Q)|R \sim P|(Q|R)$  // '|' associative
- $P+0 \sim P$  // '0' neutral wrt. '+'
- $P|0 \sim P$  // '0' neutral wrt. '|'
- ...

# Summary: Strong Bisimilarity ( $\sim$ )

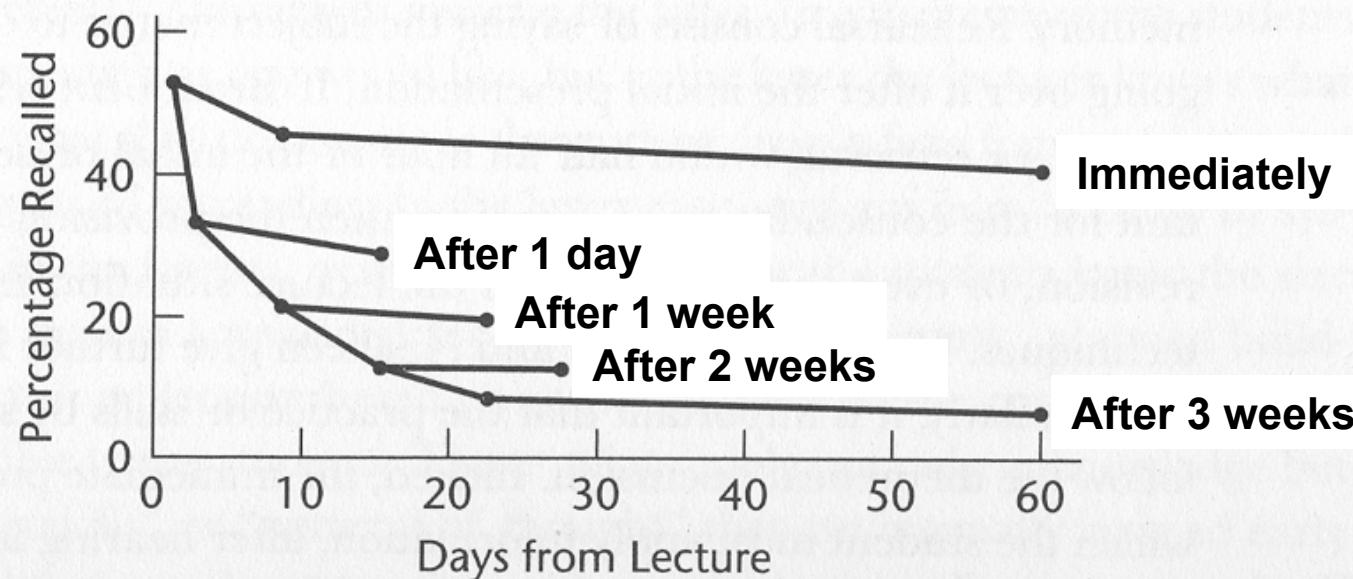
## ■ Properties of ( $\sim$ ):

- an equivalence relation:
  - reflexive, symmetric, and transitive
- the largest strong bisimulation:
  - for proving bisimilarity (exhibit a bisimulation)
- strong bisimulation game:
  - for proving non-bisimilarity (winning attack strategy)
- a congruence:
  - $P \sim Q \Rightarrow C[P] \sim C[Q]$
- obeys the following algebraic laws:
  - ‘+’ and ‘|’ commutative, associative, and ‘0’ neutrality, ...

# "Three minutes paper"

- Please spend three minutes writing down the most important things that you have learned today (*now*).

FIGURE 2.5. THE VALUE OF REHEARSAL FOLLOWING A LECTURE.



Source: Adapted from Bassey (1968).