

# It is NP-hard to verify an LSF on the sphere

Thomas Dybdahl Ahle

March 2017

A locality sensitive filter system, LSF, on a sphere is a matrix  $A \in \mathbb{R}^{n \times d}$  where the rows are vectors of approximately unit length. (It could for example have Gaussian  $\mathcal{N}(0, 1/d)$  elements.) The LSF can be used to create a nearest-neighbour data-structure on a set of points on the unit sphere  $X \subseteq \mathcal{S}_{d-1}$ , by creating a ‘bucket’  $B_a$  for each row  $a \in A$ . For each  $x \in X$  we add  $x$  to  $B_a$  if  $\langle x, a \rangle \geq \tau$  for some constant  $\tau$ . We say an LSF is ‘correct’ for a value  $r$ ,  $0 < r < 1$ , if for all  $x \in X$  and  $y \in \mathcal{S}_{d-1}$  with  $\langle x, y \rangle \geq r$  there is an  $a \in A$  such that  $\langle x, a \rangle \geq \tau$  and  $\langle y, a \rangle \geq \tau$ . Intuitively an LSF is correct if two points, that are close to each other, are guaranteed to fall in a shared bucket.

An important problem is whether we can verify that an  $A$  is correct for a value  $r$ . In this note we show that such a verification is not possible in time polynomial in  $n$ , unless  $P = NP$ . In particular we show this for the case of a data structure with just a single point. That is  $|X| = 1$ . The approach is inspired by [1].

**Definition 1** (Problem 1: Verification). *Given constants  $0 < \tau < r < 1$ , a vector  $x \in \mathcal{S}_{d-1}$  and a matrix  $A$  with  $Ax \geq \tau$ , return a point  $y \in \mathcal{S}_{d-1}$  such that  $Ay < \tau$  and  $\langle y, x \rangle = r$ .*

Importantly, if an LSF is correct for  $r$ , the above problem should fail for any  $x$ . On the other hand, if the LSF is not correct, the above problem will find a  $y$  that that proves it bad.

We show that the 3-Sat problem can be reduced to the verification problem.

**Definition 2** (Problem 2: 3-Sat). *Given  $n$  boolean variables,  $x_i$ , and  $m$  clauses on the form  $(\neg)x_i \vee (\neg)x_j \vee (\neg)x_k$ , determine if there is an assignment to the variables that make all clauses true.*

We will reduce 3-Sat to the verification problem with  $r = 1/\sqrt{2}$ ,  $\tau = \alpha/\sqrt{n}$ ,  $\alpha = \sqrt{2/3}/(2-\sqrt{2})$  and  $x = (1, 0, \dots, 0) \in \mathcal{R}^{n+1}$ . Other values are also possible, but these are pretty typical for the values that would be used in practice. Here  $\alpha$  was chosen such that  $\alpha/\sqrt{2} + 1/\sqrt{6} = \alpha < \alpha/\sqrt{2} + 3/\sqrt{6}$ .

TODO: Decide whether to use  $d$  or  $n$ .

For each clause  $(\neg)x_i \vee (\neg)x_j \vee (\neg)x_k$  with  $1 \leq i < j < k \leq n$  we define a row  $a \in \mathbb{R}^{n+1}$ . We set  $a_0 = \alpha/\sqrt{d}$  and  $a_i = 1/\sqrt{3}$  if  $x_i$  is positive in the clause, and  $a_i$  if  $x_i$  is negative ( $\neg$ ) we set  $a_i = -1/\sqrt{3}$ . If  $x_i$  is not the the clause, we

set  $a_i = 0$ . (Note that  $\|a\|_2^2 = 1 + \alpha^2/d \approx 1$ , which is similar to what it would be with gaussian values.)

We further define rows  $b_i \in \mathbb{R}^{n+1}$  for  $1 \leq i \leq 2n$  such that  $b_{i,0} = \alpha/\sqrt{d}$ ,  $b_{2i,2i+1} = 1/\sqrt{3}$  and  $b_{2i+1,2i+2} = -1/\sqrt{3}$ . In total we get a matrix  $A$  with  $m+2n$  rows and  $n+1$  columns. For all  $a$  and  $b$  we have  $\langle a, x \rangle = \langle b, x \rangle = \alpha/\sqrt{d} = \tau$ . (Note we don't quite have  $\|b\| \approx 1$ , but we could fix that by a  $\sqrt{2/3}$  coordinate and 0 coordinates on the other vectors.)

Visually the different vectors look like this:

$$\begin{aligned} y &= (1/\sqrt{2}, \pm 1/\sqrt{2d}, \dots) \\ x &= (1, 0, \dots, 0) \\ a &= (\alpha/\sqrt{d}, 0, \dots, \pm 1/\sqrt{3}, \dots, 0) \\ b &= (\alpha/\sqrt{d}, 0, \dots, \pm 1/\sqrt{3}, \dots, 0) \end{aligned}$$

**Theorem 1.** *The verification problem for  $A$ ,  $\tau$ ,  $r$ ,  $x$  will find a counter example  $y$  if and only if the 3-Sat problem is satisfiable.*

*Proof.* We first show that if the clauses are all satisfiable, we can find a  $y$  as by the verification problem. Let  $x_i \in \{\text{true}, \text{false}\}$  for  $1 \leq i \leq n$  be an assignment satisfying the clauses. We let  $y_0 = 1/\sqrt{2}$  and  $y_i = \pm 1/\sqrt{2n}$  where the sign is negative if  $x_i$  is true and positive if  $x_i$  is false.

This makes  $\|y\|_2^2 = 1$  and  $\langle x, y \rangle = 1/\sqrt{2} = r$ . For each  $a$  in  $A$  we have  $\langle y, a \rangle = \alpha/\sqrt{2d} + \{-3, -1, 1, 3\}/\sqrt{6d}$ , depending on how many of the signs in  $a$  match those in  $y$ . Importantly, by the way  $y$  is build from an assignment satisfying the clause, at least once the signs differ. Hence  $\langle y, a \rangle \leq \alpha/\sqrt{2d} + 1/\sqrt{6d} = \alpha/\sqrt{d} = \tau$ . Finally for each even  $i$  and  $b = b_i$  in  $A$ , we have  $\langle y, b \rangle = \alpha/\sqrt{2d} \pm 1/\sqrt{6d} \leq \alpha/\sqrt{d} = \tau$ .

TODO: Make  $b$  a little bit smaller, so it is strictly smaller than  $\tau$ , or the intersection with  $a$  larger.

In the other direction, we'll show that given a  $y$  from the verification problem, we can find a satisfying assignment for the 3-Sat problem.

First notice that  $y_0 = \langle y, x \rangle = 1/\sqrt{2}$ . Then from the  $b$  rows, we have  $y_i/\sqrt{3} + \alpha/\sqrt{2d} \leq \tau$  and  $-y_i/\sqrt{3} + \alpha/\sqrt{2d} \leq \tau$  for  $i \geq 1$ . This implies for all  $i \geq 1$  that  $-1/\sqrt{2d} \leq y_i \leq 1/\sqrt{2d}$ . Since  $\|y\|_2^2 = 1$ , the extreme values have to be achieved, hence  $y_i \in \{-1/\sqrt{2d}, 1/\sqrt{2d}\}$ .

Now for each clause, there is an  $a \in A$  with corresponding signs. Since we have  $\langle y, a \rangle = \alpha/\sqrt{2d} + \{-3, -1, 1, 3\}/\sqrt{6d} \leq \tau$  depending on the number of satisfying clauses, we must have the signs not matching at least once, meaning  $y$  satisfies the clause.  $\square$

## References

- [1] Marko D Petković, Dragoljub Pokrajac, and Longin Jan Latecki. Spherical coverage verification. *Applied Mathematics and Computation*, 218(19):9699–9715, 2012.