

On Adaptive Integer Sorting

Anna Pagh, IT University of Copenhagen
Rasmus Pagh, IT University of Copenhagen
Mikkel Thorup, AT&T Labs

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Outline of talk

- Integer sorting
- Adaptive sorting
- Our result
- General idea: Reduction to multisorting
- Analysis

Word RAM model

- **Word RAM model:**
 - **Unit cost operations on w -bit words:** bitwise boolean operations, arithmetic operations, shifts.
 - **Integers can be used as addresses.**
- **Is multiplication a constant time operation?**

Problem formulation

- Sort n words of w bits on a word RAM.
- In this talk we only consider algorithms using $O(n)$ space.
- We allow multiplication.

History of integer sorting

Reference	Time complexity	Notes
Radix sort: Comrie '29	$O(n)$	Requires $w=O(\log n)$
Fredman & Willard '90	$O(n \log n / \log \log n)$	
	$O(n \log^{1/2} n)$	Expected time
Andersson et al. '95	$O(n \log \log n)$	Expected time
Han '02	$O(n \log \log n)$	
Han & Thorup '02	$O(n (\log \log n)^{1/2})$	Expected time

Which would you rather sort?

◦ 1, 3, 4, 6, 5, 7, 8, 14, 15, 13, 16, 19, 18, 20

◦ 8, 3, 20, 1, 14, 18, 4, 7, 15, 7, 6, 19, 5, 16

Adaptive sorting

- Take advantage of existing **order** to sort faster.
- Measure of order:
 - Inversions: $x_i > x_j$, $i < j$ is one inversion.
 - $Inv =$ Number of inversions in the input.
 - $0 \leq Inv \leq n(n-1)/2$
 - Natural & classical way to measure order.
 - Other measures exists, e.g. Runs, Osc,...

Comparison based adaptive sorting

- Finger search trees: $O(n \log(\text{Inv}/n) + n)$, Guibas et al. '77.
- Linear time for $O(n)$ inversions, and the classical $O(n \log n)$ bound in the worst case.
- $n \log(\text{Inv}/n) + O(n)$ comparisons.
 - Optimal result.
 - Elmasry & Fredman, '03.

New result

- Sorting n integers, with Inv inversions, on a word RAM in
 - $O(n (\log \log(\text{Inv}/n))^{1/2})$ expected time.
 - $O(n \log \log(\text{Inv}/n))$ deterministic time.
 - $O(n)$ expected time if $\text{Inv}/n < 2^{(\log n)^{1-\epsilon}}$, where ϵ is any positive constant.

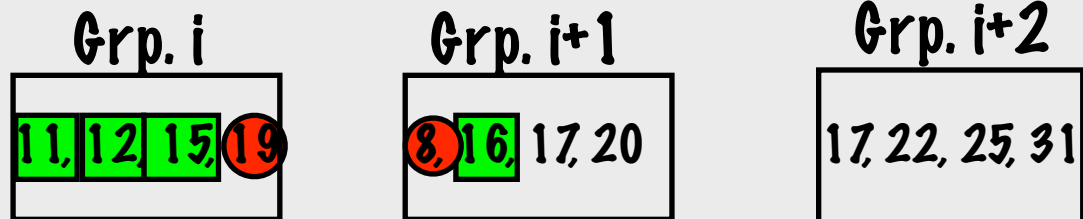
Main reduction

- Multisorting and inversion sorting can be reduced to each other in $O(n)$ time.
 - **Multisorting:** Sort n integers of w bits in groups of size $\leq q$
 - **Inversion sorting:** Sort n integers of w bits with $\text{Inv} \leq qn$.
- Surprisingly, this equivalence has been overlooked for more than 25 years.

Using multisorting to sort

		Grp. i	Grp. i+1		
...	...	12, 15, 19, 11	8, 16, 20, 17

Multisort all groups



Merge the sorted groups:

1, 2, 4, ..., 9, 10, 11, 12, 15, 16,

Sort the red integers and merge with the rest.

Analysis of the reduction

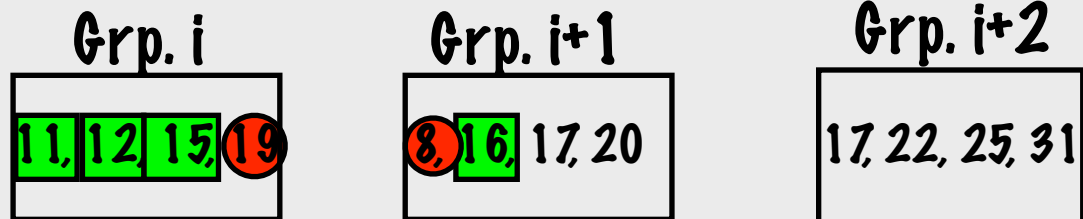
- **Key observation:** There are few **red** integers.

→ If integer from group $i+1$ is **red**, then it has inversions with half of group i .

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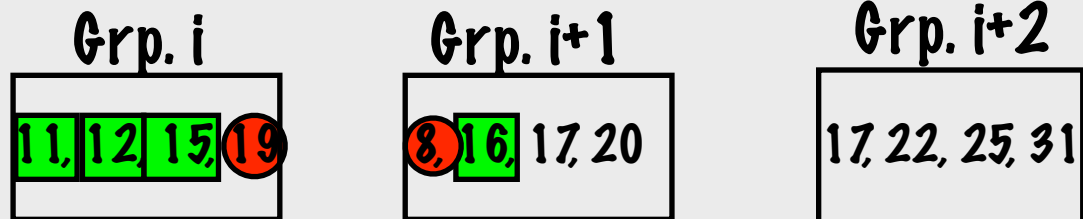
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- ➔ Choosing group size $q \log n$ gives that there are at most $4n/\log n$ **red** integers.

Time analysis

- Sorting at most $4n/\log n$ red integers: $O(n)$.
- Merging: $O(n)$.
- Multisort n integers in groups of size $q \log n$: $O(n \log \log q)$ or $O(n (\log \log q)^{1/2})$ by sorting the groups one by one.
- Multisort in $O(n)$ time when $q < 2^{(\log n)^{1-\epsilon}}$ by new algorithm. Uses the fact that several groups are sorted at the same time. Ideas from signature sorting, Andersson et al.

Last slide

- Algorithm assumes q is known. We can run it for increasing value to make it adaptive.
- It is a general reduction, so any improved sorting bound will improve the algorithm.
- The reduction does not use multiplication. Best known bound for sorting not using multiplication is $O(n \log \log n)$ expected time, Thorup '97.
- More general result, for O_{sc} , in the paper.