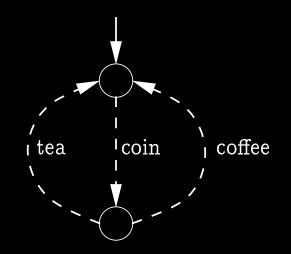
# On Modal Refinement and Consistency

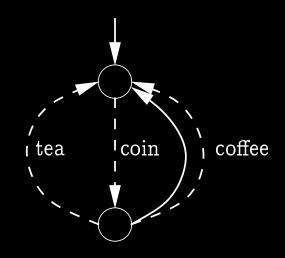
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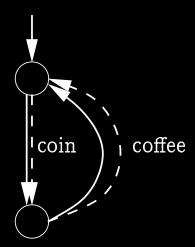
#### Modal Transition Systems



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An implementation.

#### Outline

- Modal Transition Systems
- Part I: Refinement vs Implementations
- Part II: Consistency
- Conjectures & Summary

# Part I

Refinement
vs
Implementation
Inclusion

# Def. Modal transition system $S = (states_S, \Sigma, \longrightarrow_S, -\rightarrow_S)$

- $\Sigma$ : an alphabet of actions
- states<sub>S</sub>: a finite set of states
- $ullet \longrightarrow_{\mathsf{S}} \subseteq states_{\mathsf{S}} imes \Sigma imes states_{\mathsf{S}} \pmod{\mathsf{S}}$
- $--+_S \subseteq states_S \times \Sigma \times states_S \pmod{may}$

Transition relations are finite.

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- $\longrightarrow_S \subseteq states_S \times \Sigma \times states_S \pmod{states}$
- --> $_{S} \subseteq states_{S} \times \Sigma \times states_{S}$  (may)

Transition relations are finite.

Def. Modal Refinement  $S \leq_m T$  iff for any  $\alpha \in \Sigma$ :

whenever  $S \xrightarrow{\alpha} S'$  for some S' then for some  $T': T \xrightarrow{\alpha} T'$  and  $S' \leq_m T'$ 

whenever  $\mathsf{T} \xrightarrow{\alpha} \mathsf{T}'$  for some  $\mathsf{T}'$  then for some  $\mathsf{S}' \colon \mathsf{S} \xrightarrow{\alpha} \mathsf{S}'$  and  $\mathsf{S}' \leq_{\mathrm{m}} \mathsf{T}'$ 

Generalizes simulation/bisimulation

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whenever  $S_{-} \xrightarrow{\alpha} S'$  for some S' then for some T':  $T_{-} \xrightarrow{\alpha} T'$  and  $S' \leq_m T'$  whenever  $T \xrightarrow{\alpha} T'$  for some T' then for some S':  $S \xrightarrow{\alpha} S'$  and  $S' \leq_m T'$ 

Generalizes simulation/bisimulation

#### **Implementations**

Def. A modal transition system I is an implementation iff  $\longrightarrow_{I} = - \rightarrow_{I}$ .

Note: refinements of I are bisimilar.

Def. Implementation Inclusion  $S \subseteq_m T$  iff  $\forall$  implementations I.  $I \leq_m S$  implies  $I \leq_m T$ .

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Def. A refinement  $\mathcal{R}$  is sound and complete wrt implementation inclusion if

$$SRT$$
 iff  $S \subseteq_m T$ .

Thm. Modal refinement is sound:

$$S \leq_m T$$
 implies  $S \subseteq_m T$ 

Proof. Simple.

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Proof. Simple.

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Thm. Modal refinement is sound:

Proof.

Thm. Modal refinement is incomplete

 $s\not\leq_m t,\quad \text{while } \forall i.i\leq_m s \text{ iff } i\leq_m t\quad \Box$ 

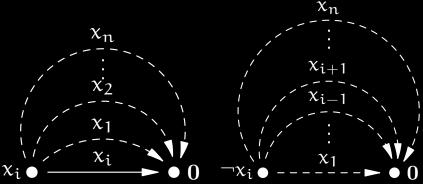
#### Theorem.

- Establishing implementation inclusion is co-NP hard
- even for syntactically consistent systems  $(--+)_S = \longrightarrow_S$ .

Side note. Modal refinement is in P.

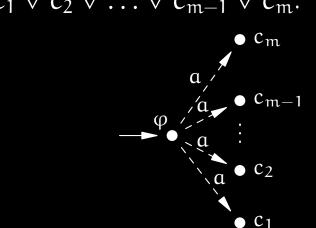
Proof. by reduction from validity checking (3-DNF-TAUTOLOGY).

#### Representing $x_i$ Representing $\overline{x_i}$

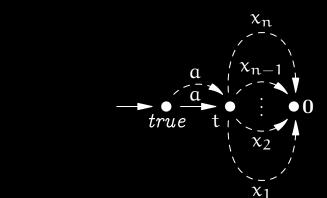


Combine to represent any satisfiable term.

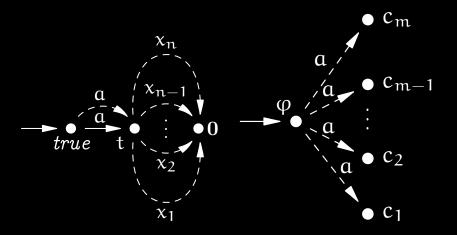
# A DNF formula: $c_1 \lor c_2 \lor \dots \lor c_{m-1} \lor c_m$ .



A true formula over the same variables.



# Implementation inclusion $\rightarrow \phi$ is valid.





## Consistency

Part II

- (\*) Syntactic consistency: → ⊆ -->
  No support for contradictions.
  - Logic: consistency = existence of solutions under a satisfaction relation. Here:
    - ▶ refinement is satisfaction
    - ▶ implementations are solutions.
    - ► consistency: existence of implementation
  - Characterize consistency using a computable criterion, like (\*)

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Def. Strong Consistency

A state S is strongly consistent iff there exists an implementation I such that

 $I \leq_m S$ .

# Computing Consistency

For  $\sigma, \sigma' \subseteq states_S$  we write:

 $\sigma \xrightarrow{\alpha \mid S \mid} \sigma' \quad \text{iff} \quad \exists s \in \sigma. \ \exists s' \in \sigma'. \ s \xrightarrow{\alpha} s'$   $\sigma \xrightarrow{\alpha \mid S \mid} \sigma' \quad \text{iff} \quad \forall s \in \sigma. \ \exists s' \in \sigma'. \ s \xrightarrow{\alpha} s'$ 

(state sets are conjunctions of constraints)

### Computing Consistency

Def.  $\mathcal{B} \subseteq \mathcal{P}(states_S)$  is a strong consistency relation iff for all  $\alpha \in act$  and  $\sigma \in \mathcal{B}$ :

$$\forall s \in \sigma. \ s \xrightarrow{\alpha} s' \ \exists \sigma' \in \mathcal{B}.$$
$$\sigma \xrightarrow{\alpha \lfloor S \rfloor} \sigma' \ \text{and} \ \sigma \xrightarrow{\alpha} \sigma' \xrightarrow{\beta} \sigma' \ \text{and} \ s' \in \sigma'.$$

Thm. A state S is (strongly) consistent iff there exists a consistency relation with a class  $\sigma_s$  such that  $S \in \sigma_s$ .

Thm. Establishing strong consistency is NP-hard.

Proof. Reduction from 3-CNF-SAT.

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Thm. Establishing strong

Proof. Reduction from 3-CNF-SAT.

#### Consistency Results

Refinement	Lower bound	Upper bound
syntactic	linear	linear
strong	NP-hard	exp-time
weak	NP-hard	exp-time
may-weak	NP-hard	exp-time

### Epilogue

#### Conjectures

- All consistencies are most likely PSPACE-complete (we have a proof sketch for the strong one).
- Establishing implementation inclusion is PSPACE-complete (currently working on this).

#### Summary

- Modal refinement is incomplete with respect to the implementation inclusion.
- Implementation inclusion is co-NP hard to establish.
- Characterized 4 consistencies
- All, but the syntactic one, are NP-hard.